

# TOA Radiative Flux Estimation From CERES Angular Distribution Models

Norman G. Loeb

Hampton University/NASA Langley Research Center  
Hampton, VA



Contributors: K. Loukachine, S. Kato, N. M. Smith, Arvind Gambheer

May 6, 2003

# TOA Flux Group Activities

Sept 2002 – May 2003

## ADM Publications:

- i) ADM paper (Part I) describing CERES/TRMM SW, LW & WN ADMs (*J. Appl. Meteor.*, 42, 240-265, 2003).
- ii) Part II summarizing TRMM ADM validation results (accepted with minor revisions).
- iii) Paper on use of neural networks for TOA flux estimation - (Konstantin Loukachine; accepted with minor revisions).
- iv) Paper comparing theoretical and empirical retrievals of TOA albedo from ice clouds using POLDER data (Wenbo Sun; in preparation).

May 2003 – Sept 2003

Development and validation of Terra ADMs based on 2 years of CERES/Terra RAP measurements.

## Terra ADM Development

- ❑ Terra ADMs will be based on 2 years of CERES measurements.
- ❑ Increase angular resolution of ADMs (goal:  $2^\circ$  or  $5^\circ$ ).
- ❑ Increase the number of scene types.
- ❑ Testing new  $1^\circ$ -resolution clear land+desert ADMs.
- ❑ New Snow and sea-ice ADMs.
- ❑ Use of “continuous” LW ADM scene types.
- ❑ Neural network scheme to improve TOA flux estimates for footprints with excessive “no retrievals”.
- ❑ Validation: Extend alongtrack instantaneous TOA flux consistency tests.

Terra SW ADMs – Clear Ocean

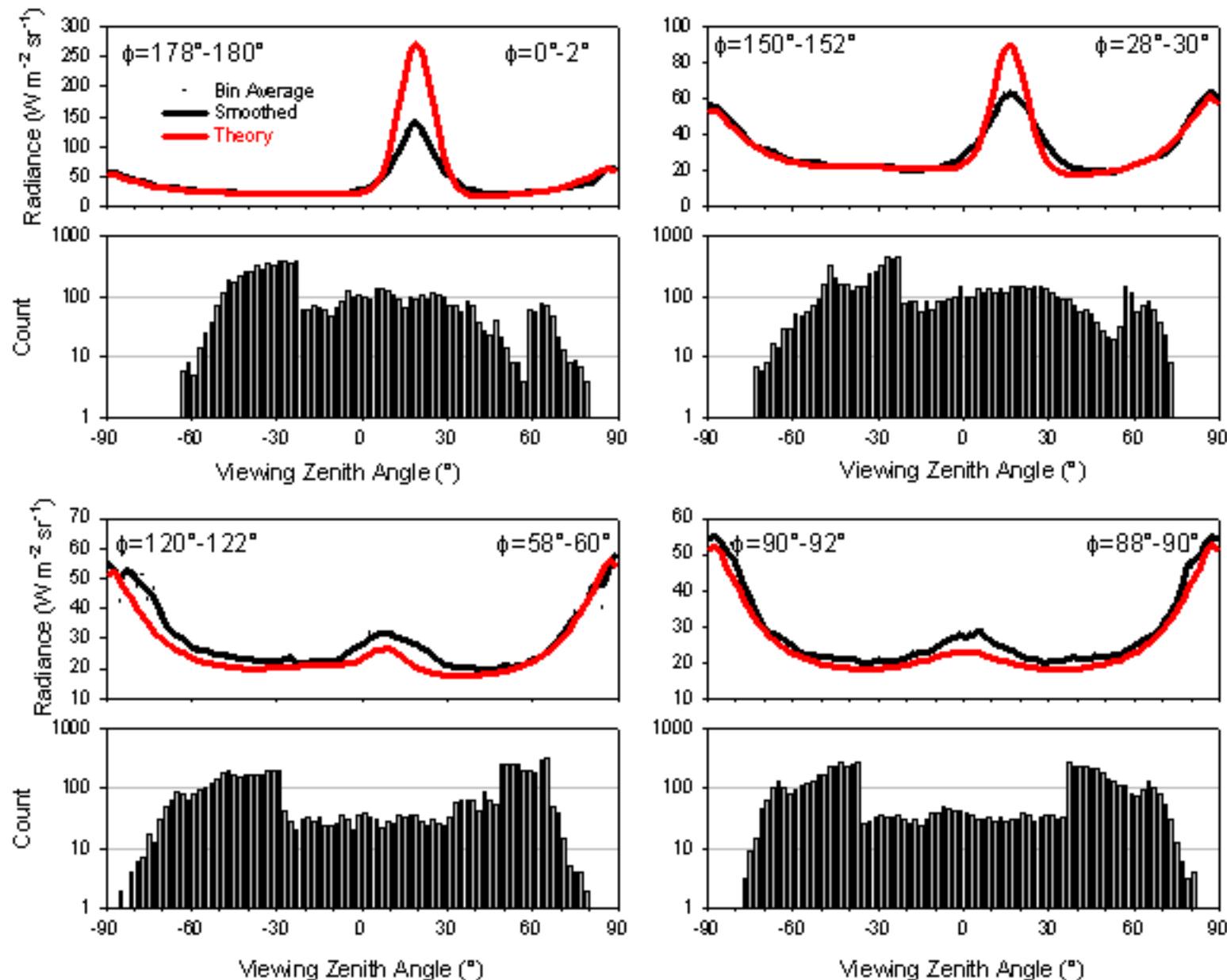
## Terra SW ADMs – Clear Scenes

### Clear Ocean:

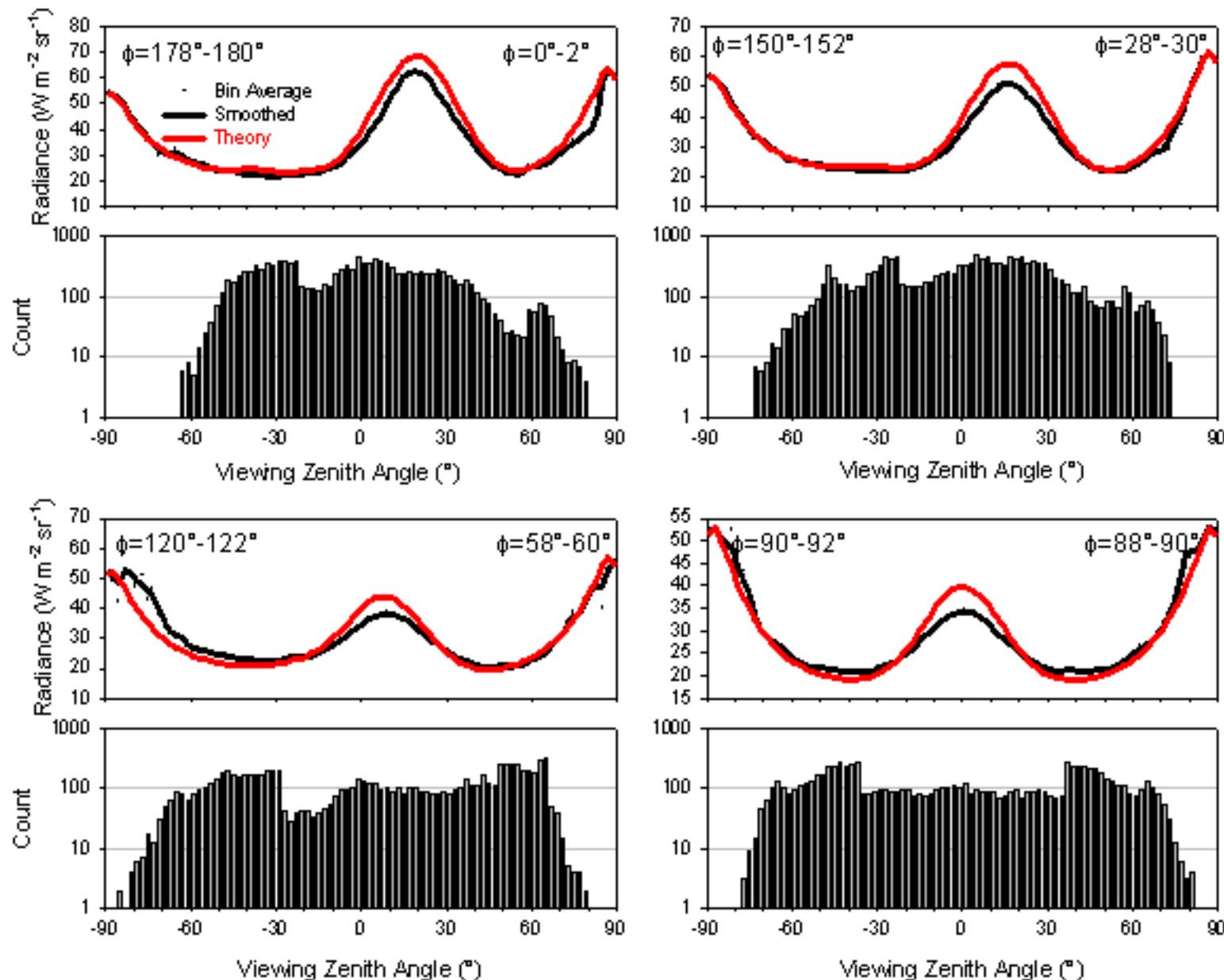
Similar approach as for CERES/TRMM but with  $2^\circ$  angular bin resolution. Wind speed dependent empirical ADMs + theoretical correction for aerosol optical depth variations.

- \* 6 bins of wind speed ( $0\text{-}12 \text{ m s}^{-1}$  in steps of  $2 \text{ m s}^{-1}$ )
- \* 45 solar zenith angle bins ( $0\text{-}90 \text{ deg}$  in steps of  $2 \text{ deg}$ )
- \* 45 viewing zenith angle bins ( $0\text{-}90 \text{ deg}$  in steps of  $2 \text{ deg}$ )
- \* 90 relative azimuth angle bins ( $0\text{-}180 \text{ deg}$  in steps of  $2 \text{ deg}$ )

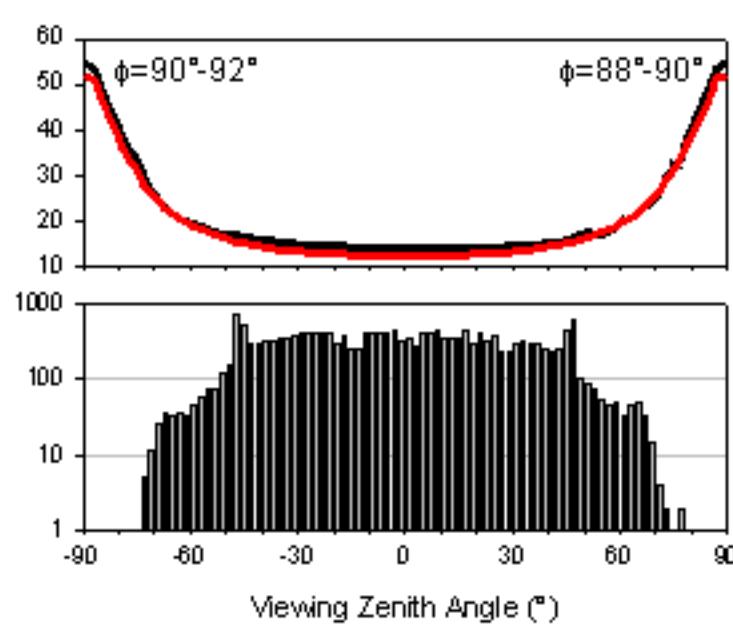
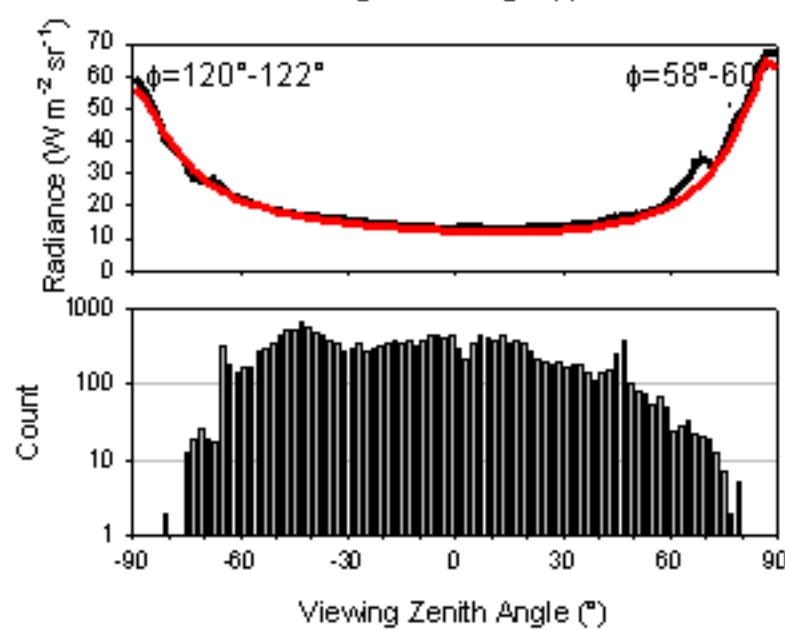
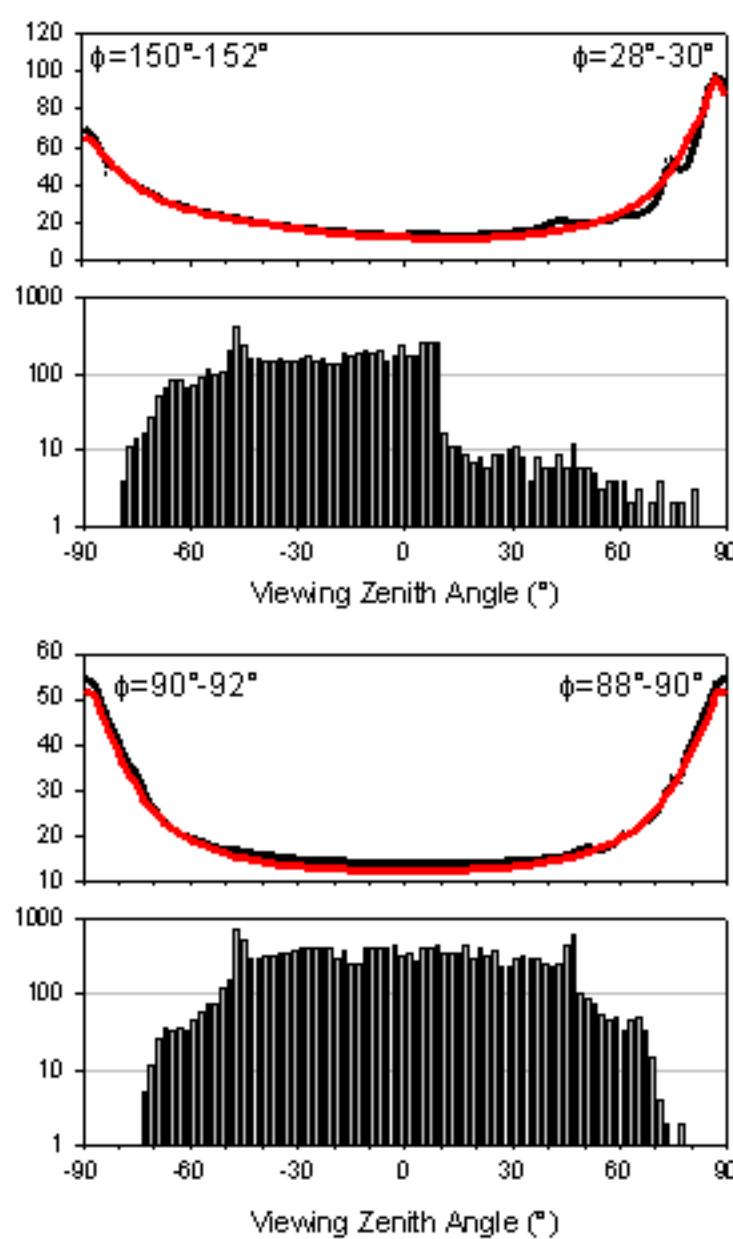
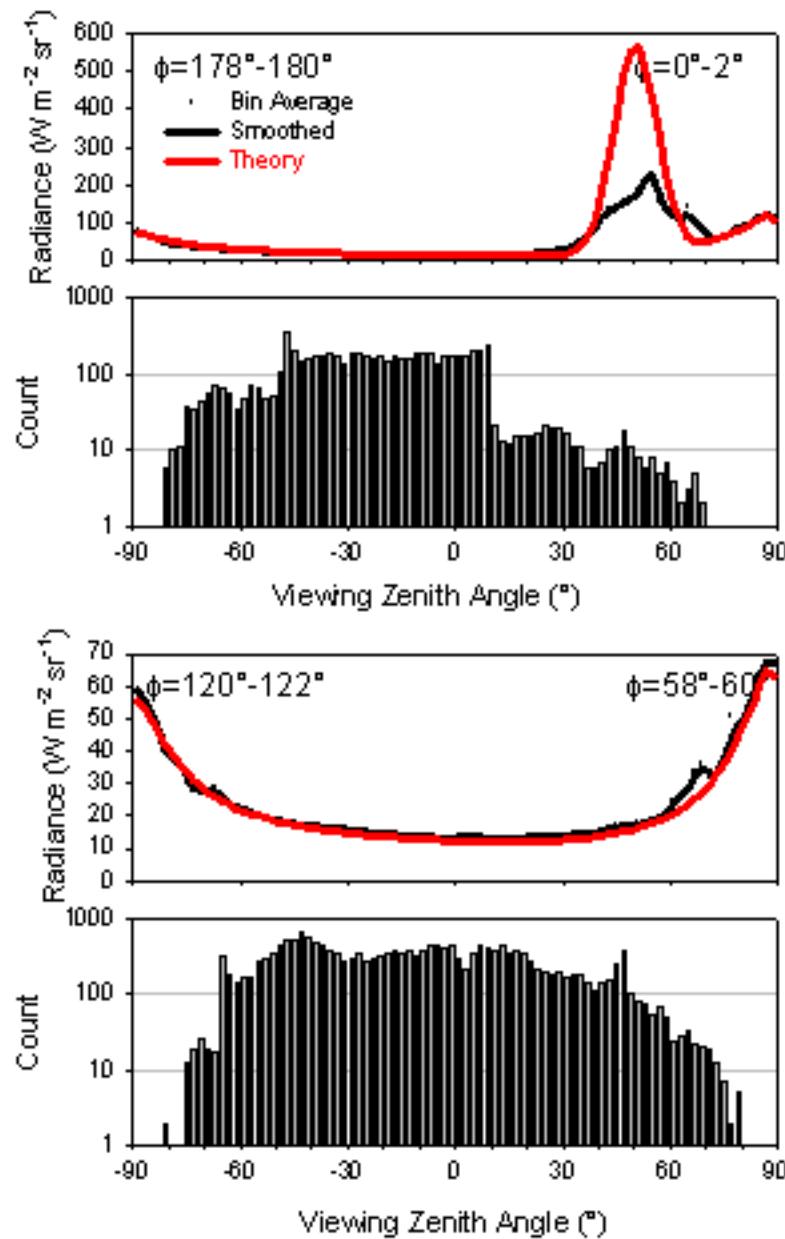
Clear Ocean ( $ws=0 - 2 \text{ m s}^{-1}$ ;  $\theta_o = 18^\circ - 20^\circ$ )



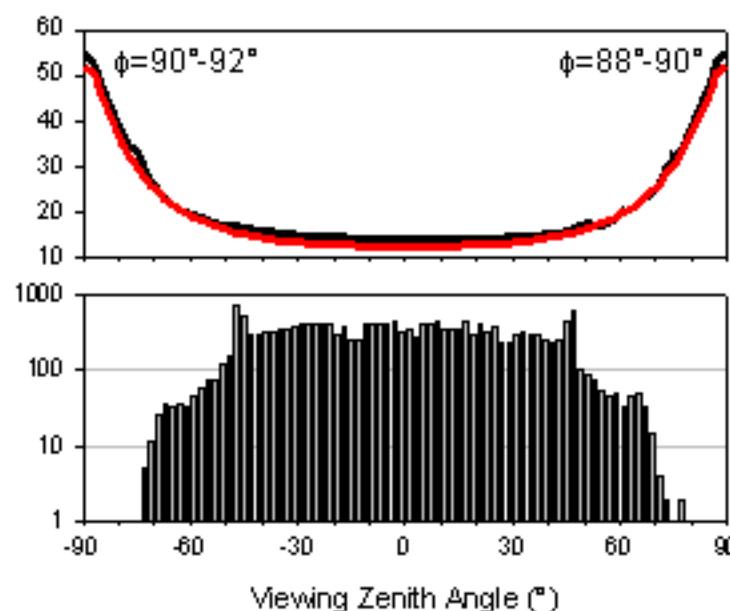
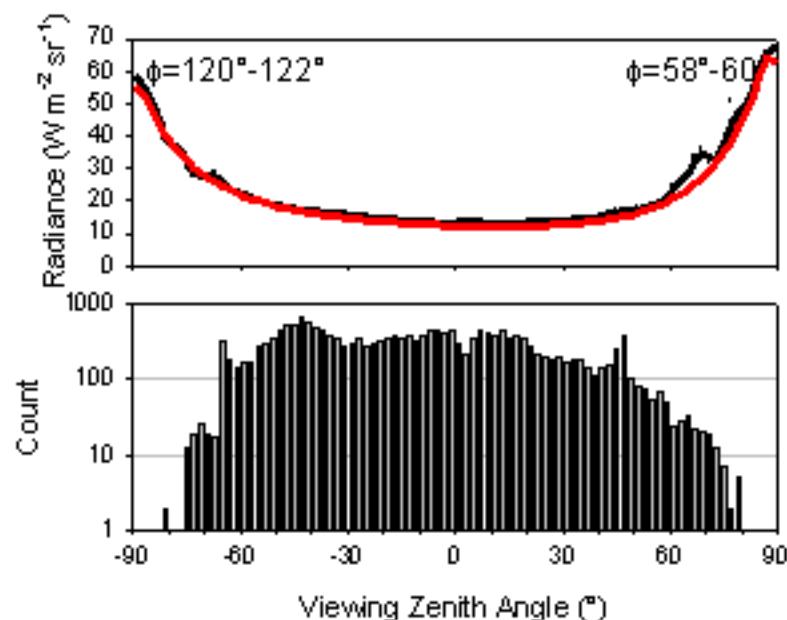
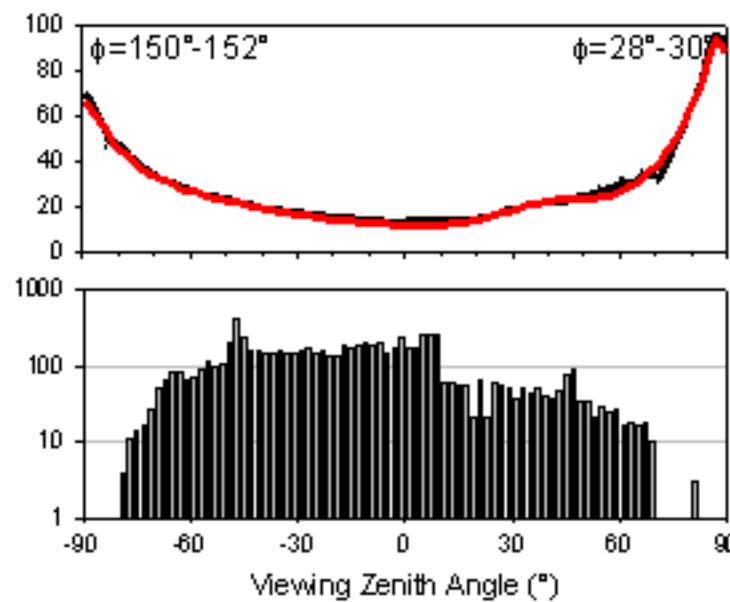
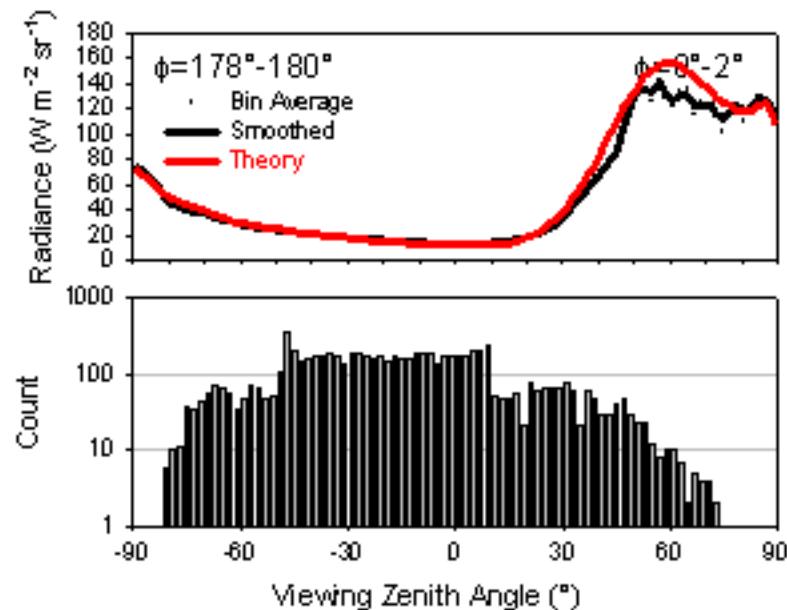
Clear Ocean ( $ws=4 - 6 \text{ m s}^{-1}$ ;  $\theta_o=18^\circ - 20^\circ$ )



Clear Ocean ( $ws=0 - 2 \text{ m s}^{-1}$ ;  $\theta_o=48^\circ - 50^\circ$ )



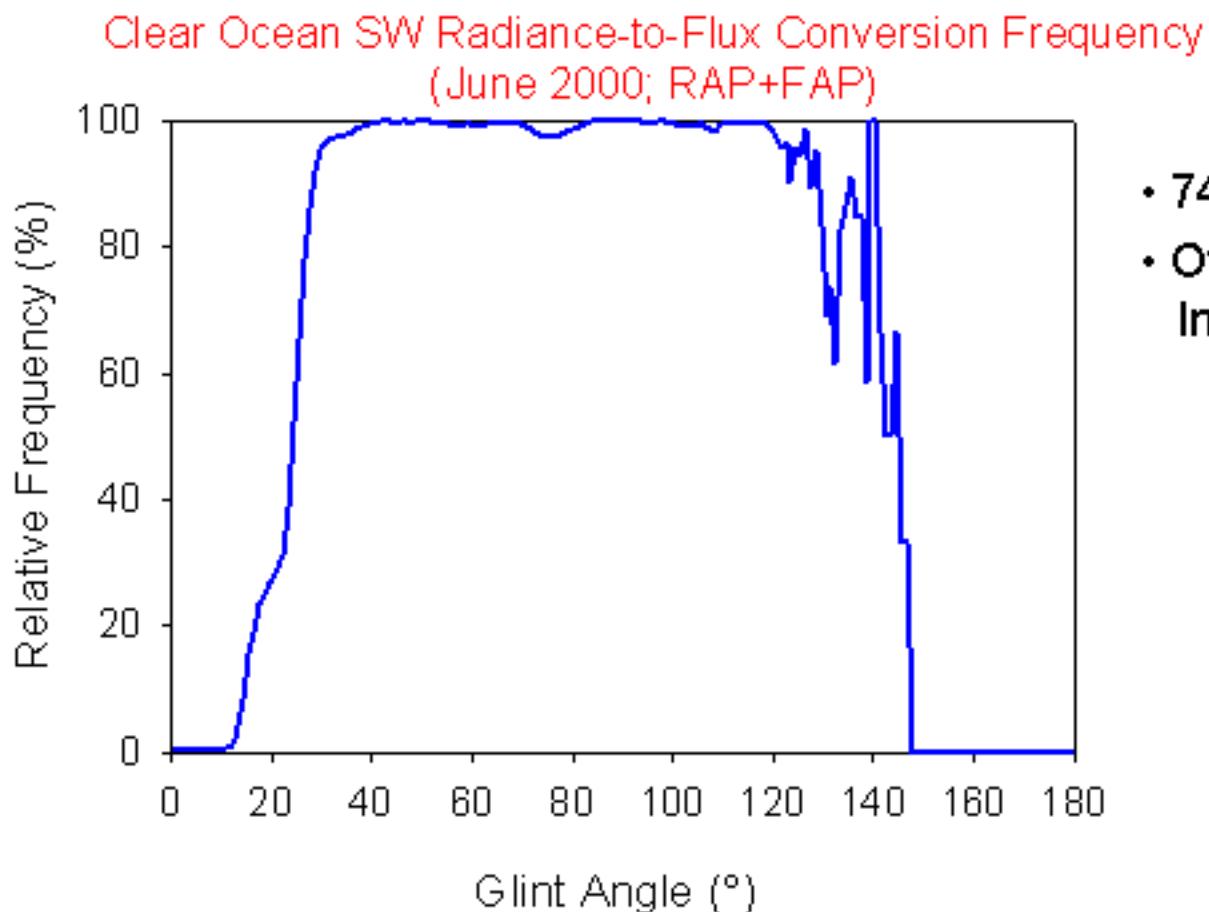
Clear Ocean ( $ws=4 - 6 \text{ m s}^{-1}$ ;  $\theta_o=48^\circ - 50^\circ$ )



## Glint avoidance?

To determine whether or not to perform a retrieval for a given measurement, the standard deviation of the ADM anisotropic factors in the vicinity of the measurement (i.e. surrounding  $w_s$ ,  $\theta_o$ ,  $\theta$ , and  $\phi$  bins) must be less than 0.05.

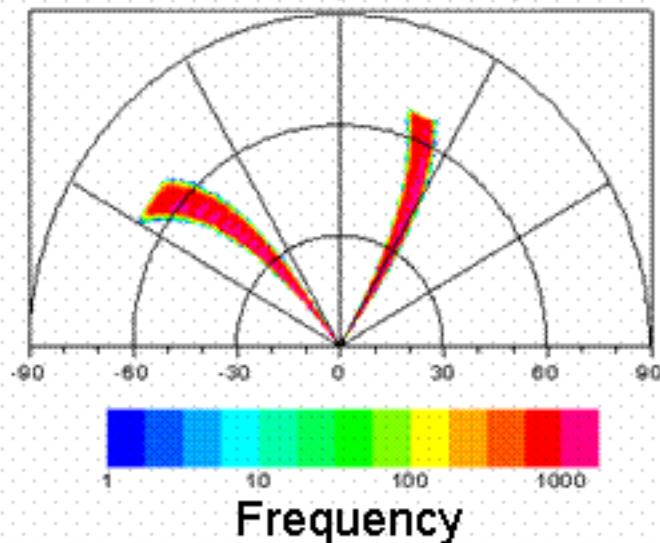
Otherwise, use ADM mean flux value as default.



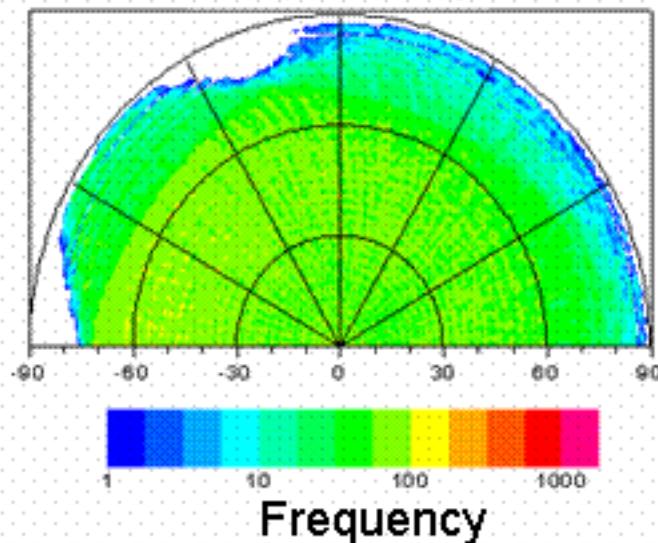
- 74% of all clear FOVs inverted
- Otherwise use ADM Direct Integration value

## Sampling For Different CERES Scan Modes ( $\theta_0=40^\circ$ - $41^\circ$ )

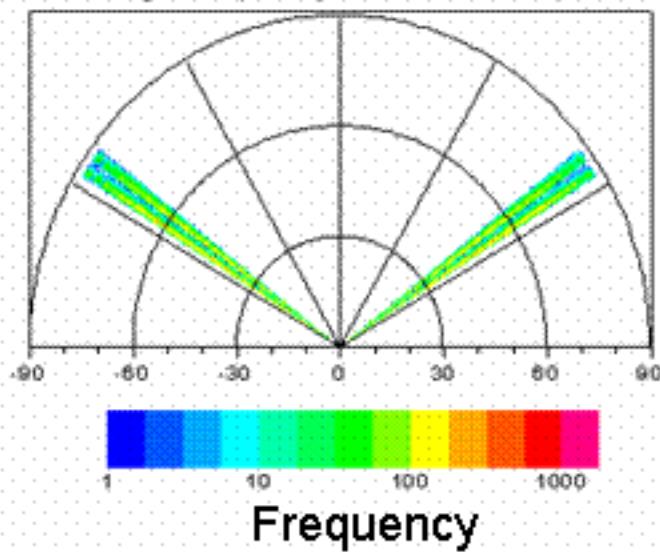
Crosstrack (March 2001)



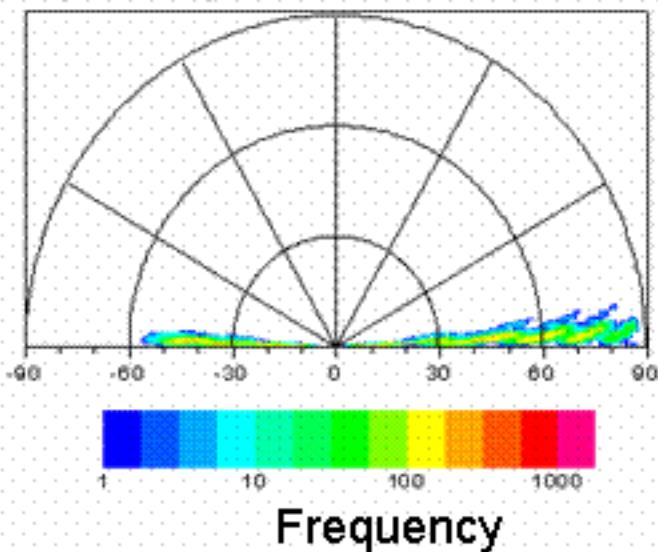
RAP (March 2001)



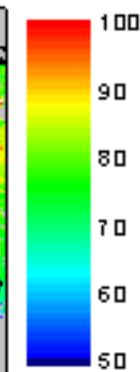
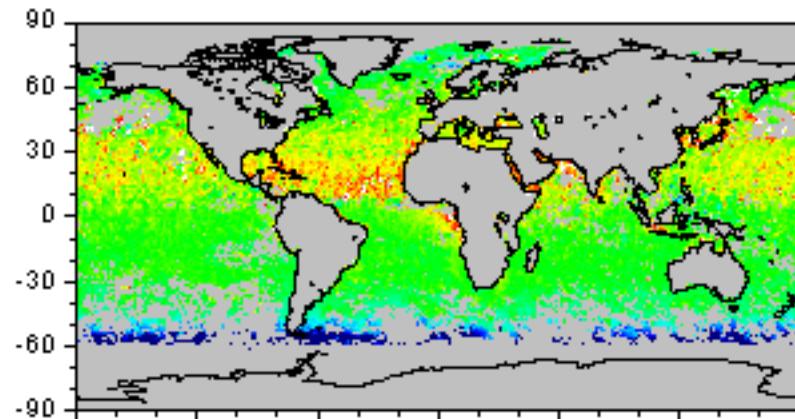
Alongtrack (2 Days in March 2001)



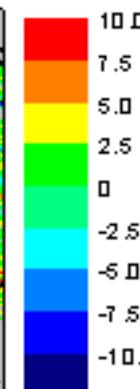
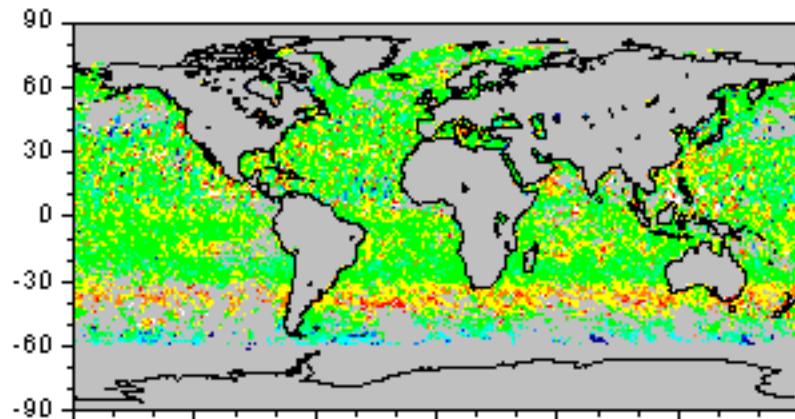
Principal Plane (Selected Orbits Dec 6-13, 2002)



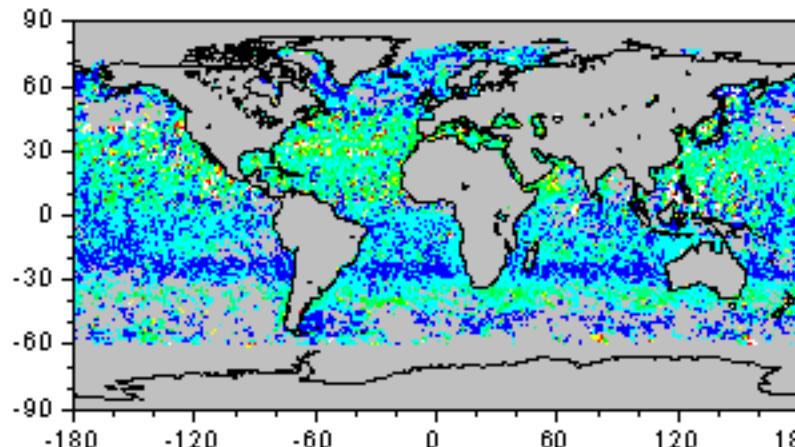
## Clear-Sky Ocean SW TOA Flux: Terra, June 2000 (RAP+FAP)



SW Flux ( $\text{W m}^{-2}$ )  
(TRMM ADM)

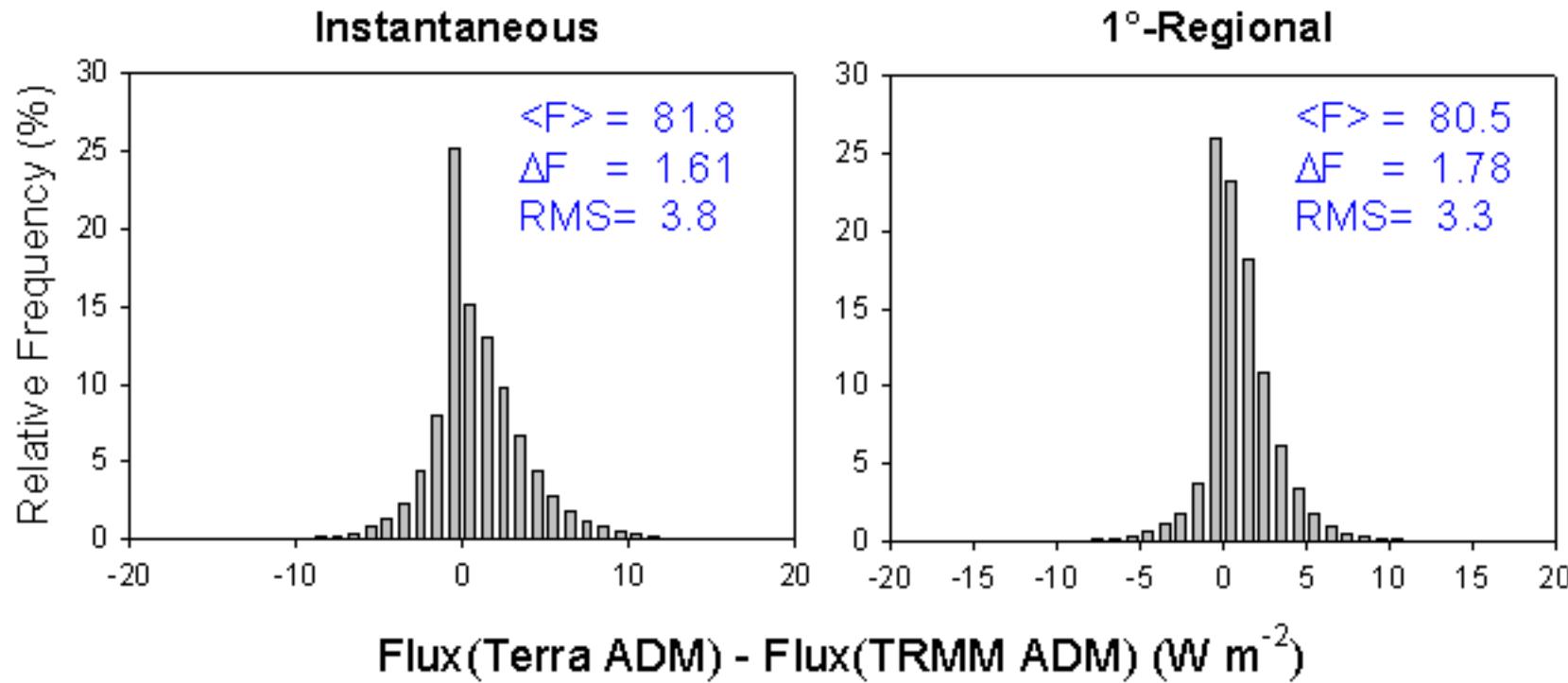


SW Flux Difference ( $\text{W m}^{-2}$ )  
(Terra ADM - TRMM ADM)



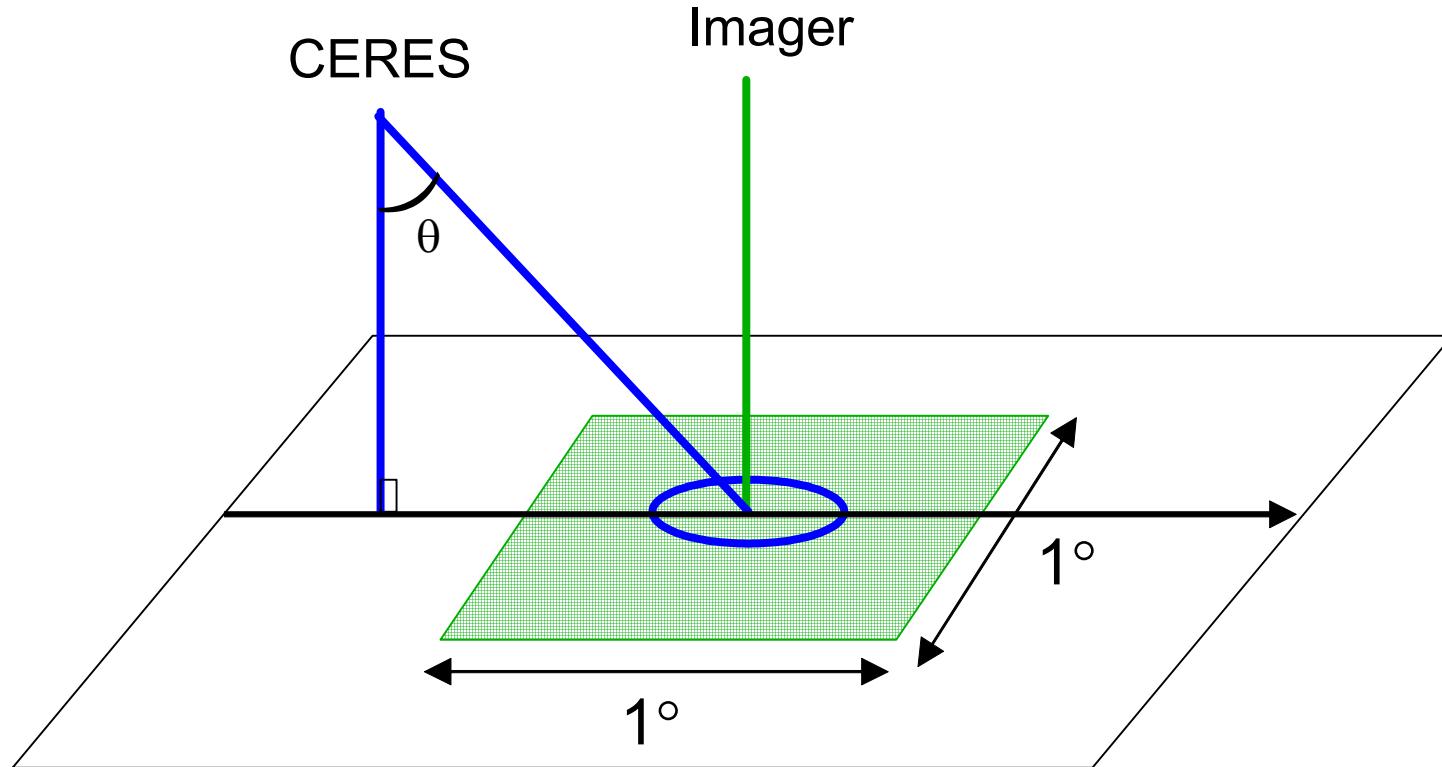
SW Flux RMS Difference ( $\text{W m}^{-2}$ )  
(Terra ADM - TRMM ADM)

## Clear Ocean SW TOA Flux Differences (June, 2000; RAP+FAP)



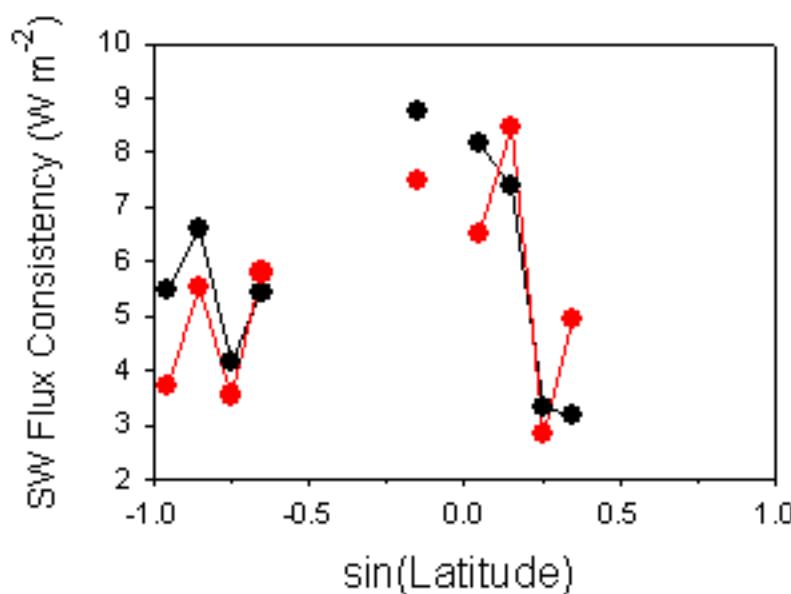
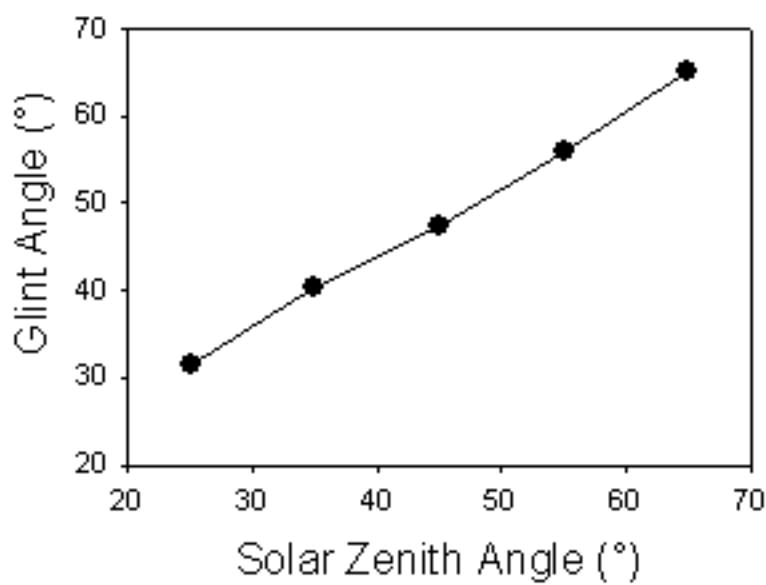
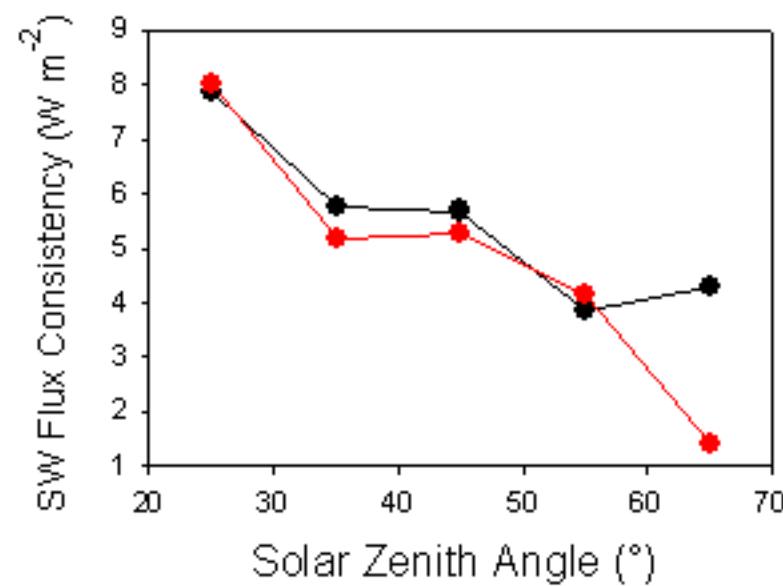
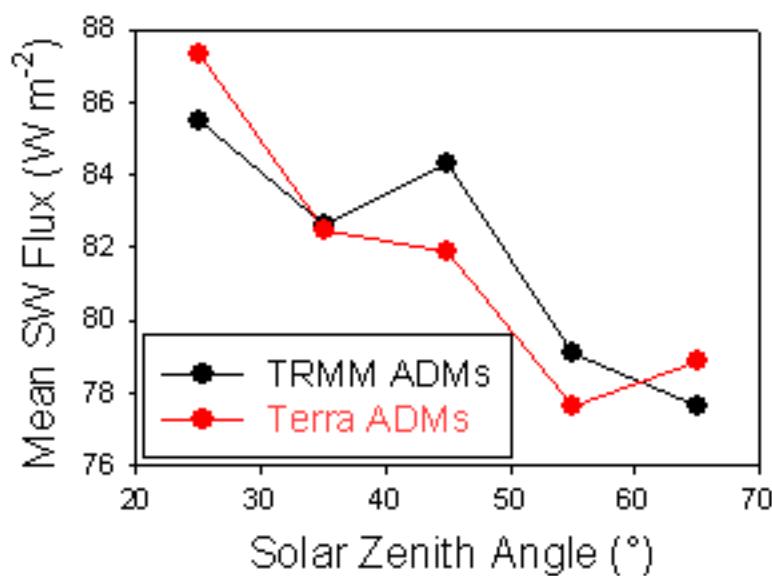
## 1° Regional Instantaneous TOA Flux Consistency Test

Objective: Compare ADM-derived TOA fluxes over 1° regions from different viewing geometries. Are TOA fluxes consistent?



Instantaneous SW TOA Flux Consistency Tests: 1° Regions  
( $F(\text{Nadir})$  vs  $F(60^\circ < \theta \leq 70^\circ)$ ; November to April, 2000, 2001)

Clear Ocean



Terra SW ADMs – Clouds Over Ocean

## Terra SW ADMs – Clouds Over Ocean

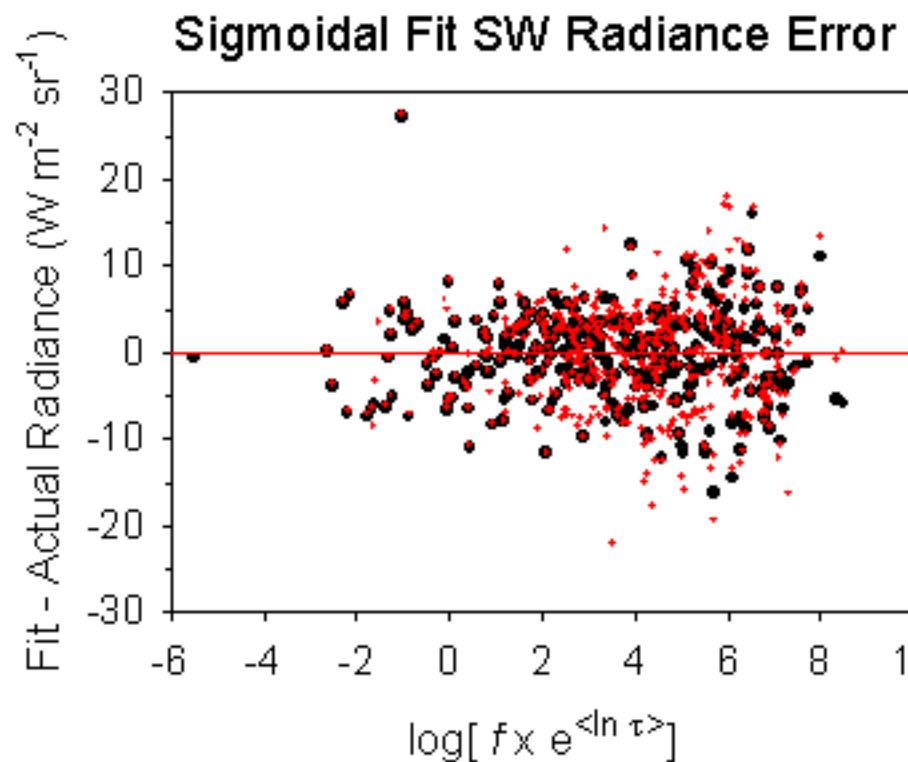
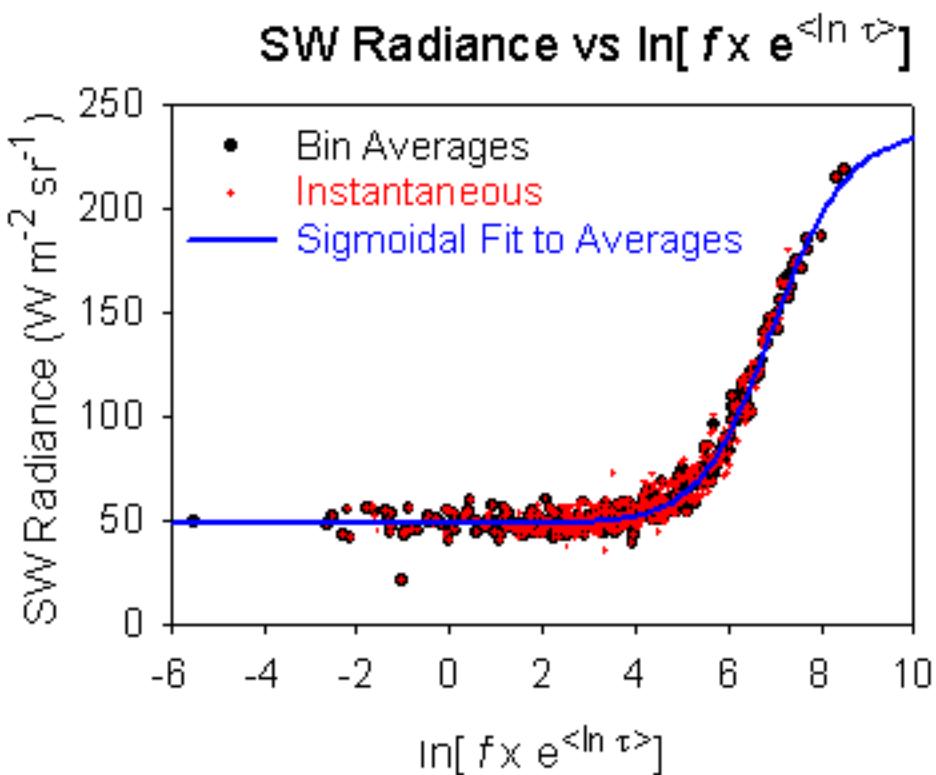
### Clouds over Ocean:

“Continuous” ADMs using sigmoidal fit approach for 3 cloud phase categories:

- i) Liquid Water (Phase  $< 1.01$ )
- ii) Mixed Phase ( $1.01 \leq \text{Phase} \leq 1.75$ )
- iii) Ice (Phase  $> 1.75$ )

## Uncertainties in Sigmoidal SW Radiance Fits

(Liquid Water Clouds;  $\theta_0=34^\circ\text{--}36^\circ$ ;  $\theta=50^\circ\text{--}52^\circ$ ;  $\phi=6^\circ\text{--}8^\circ$ ; TRMM+Terra RAPS+Alongtrack)



Avg Rad =	70.5	$\text{W m}^{-2} \text{sr}^{-1}$
Bias =	0.038	$\text{W m}^{-2} \text{sr}^{-1}$ (0.05%)
Stdev =	5.26	$\text{W m}^{-2} \text{sr}^{-1}$ (7.5%)

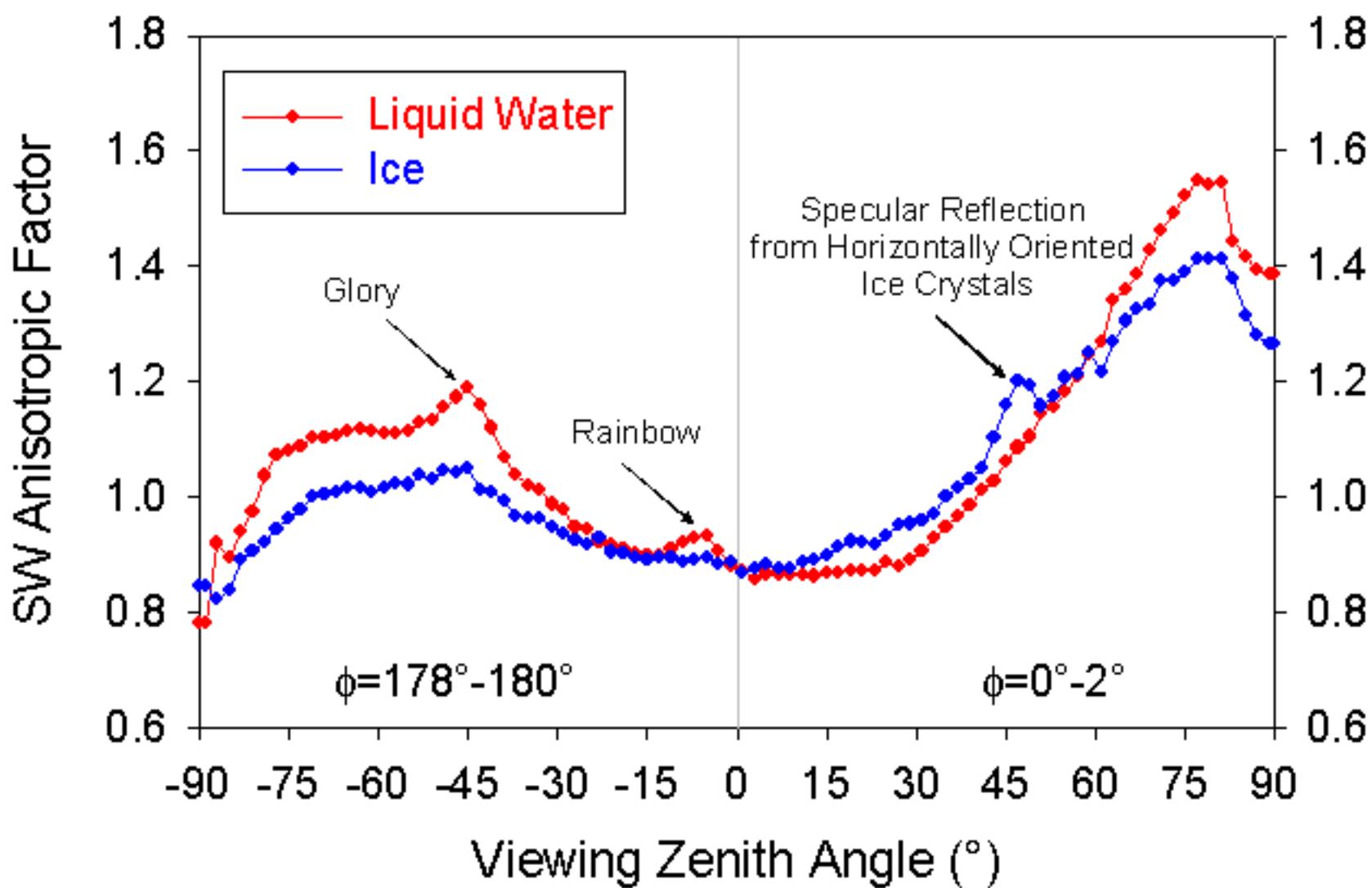
## Five Parameter Sigmoid

$$I = I_o + \frac{a}{\left[ 1 + e^{-\left( \frac{x - x_o}{b} \right)^c} \right]}$$

where,  $x = \ln(f \times e^{<\ln \tau>})$

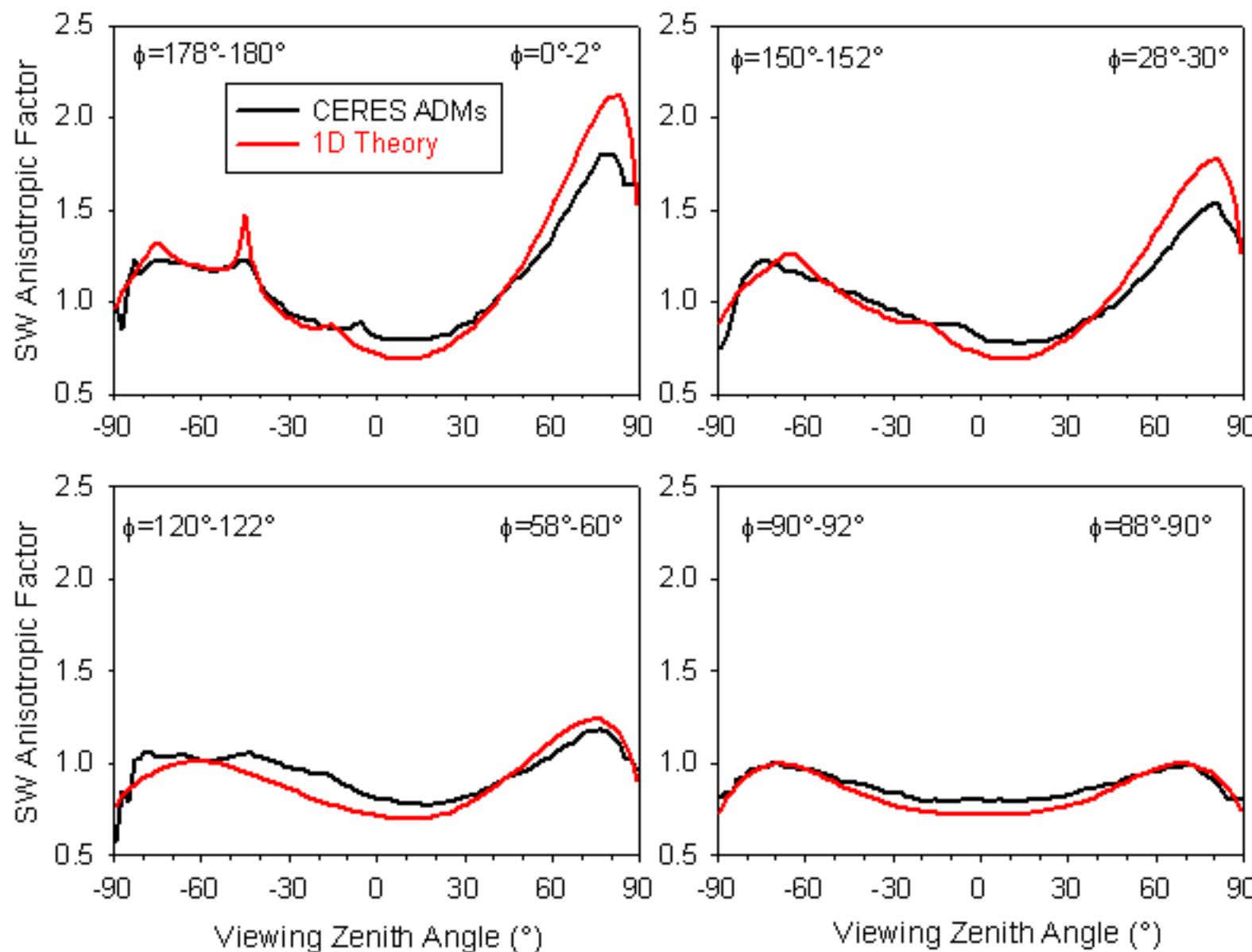
$x_o, I_o, a, b, c$  = coefficients of fit

CERES/Terra ADM Anisotropic Factors in the Principal Plane  
( $\theta_0=44^\circ\text{-}46^\circ$ ; Ocean;  $f e^{<\ln>} = 7.5$ ; November 2000 - August 2001)



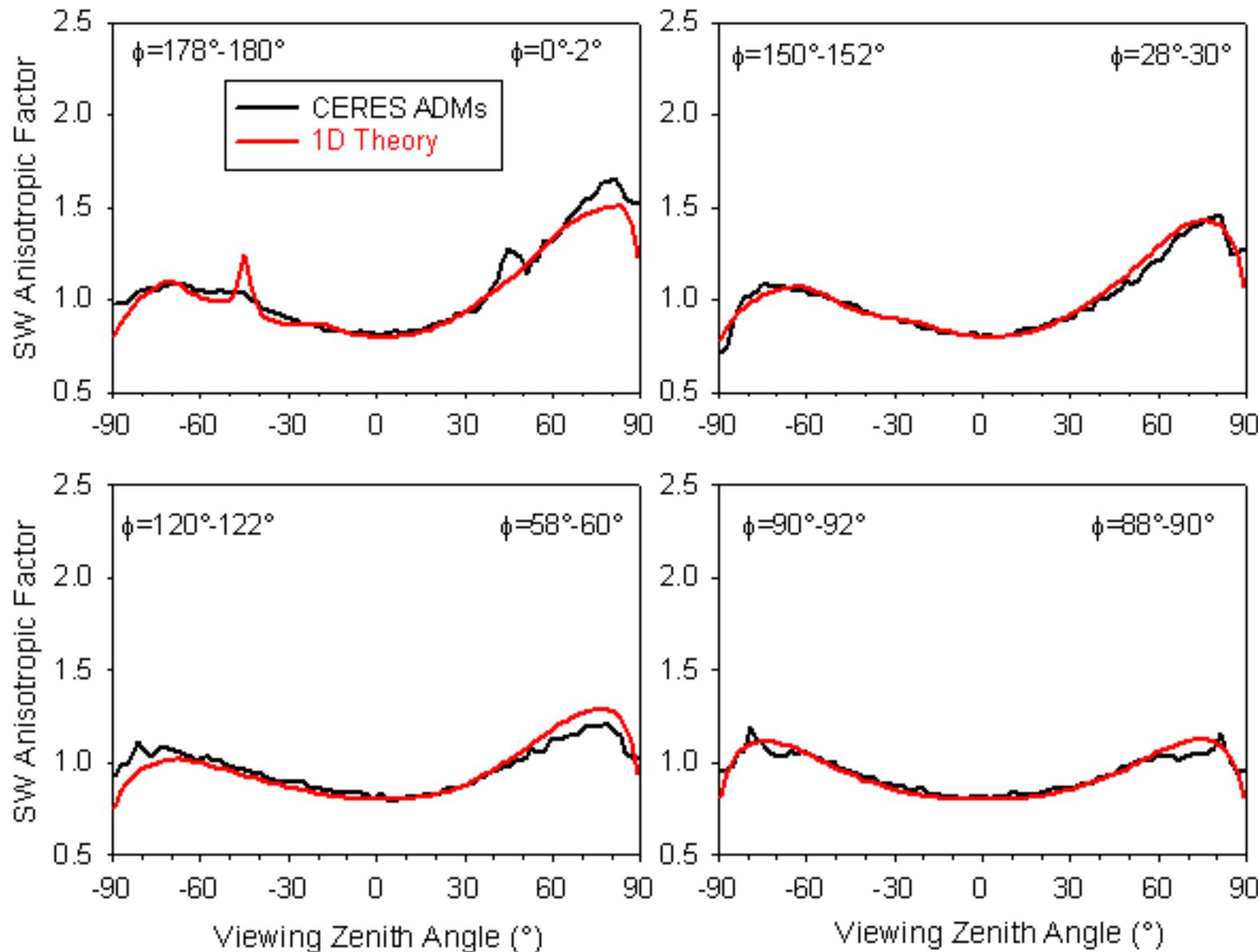
# CERES/Terra ADM Anisotropic Factors

(Liquid Water Clouds; Ocean;  $\theta_0=44^\circ\text{--}46^\circ$ ;  $f e^{<\ln \tau>} = 5$ )

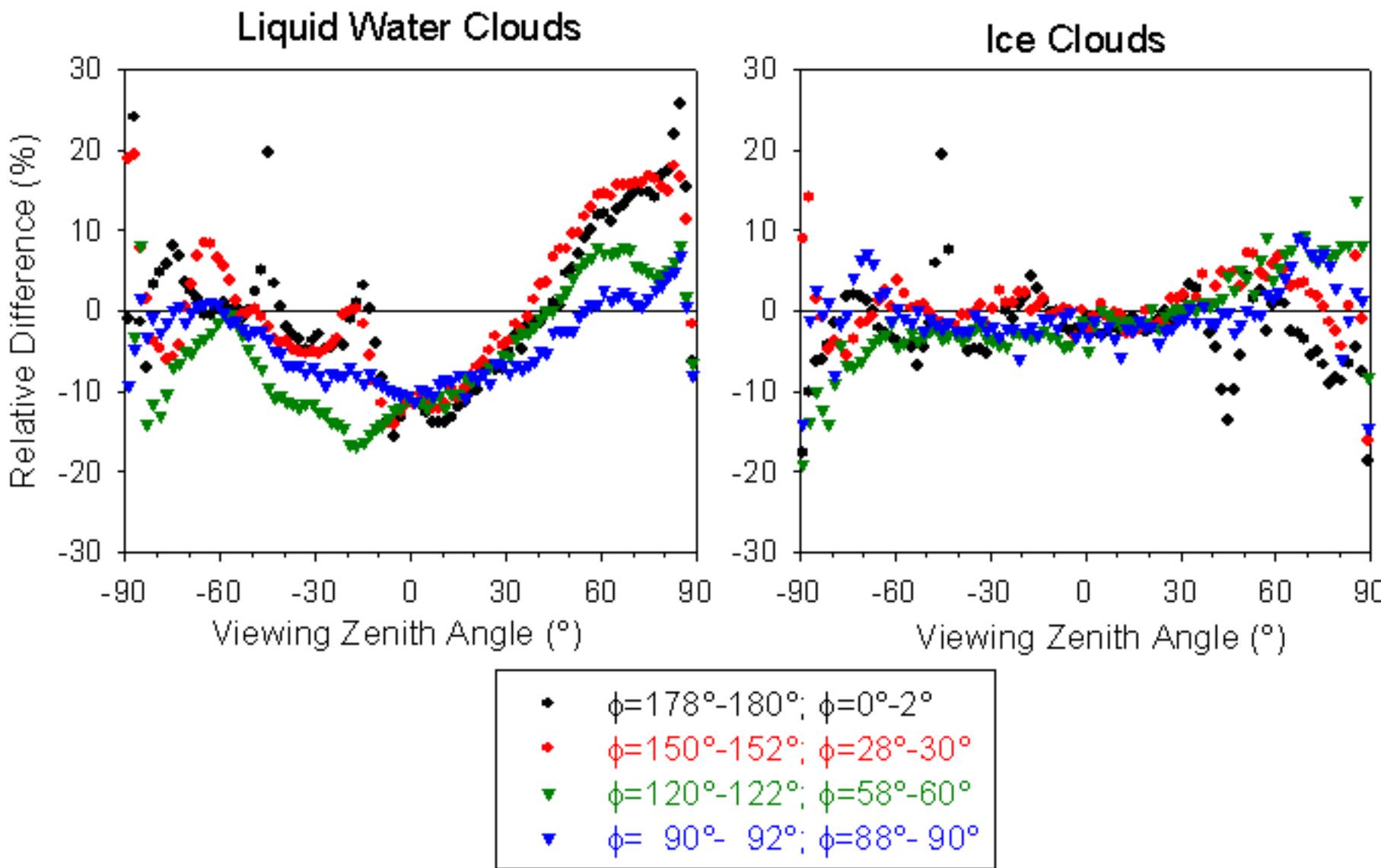


# CERES/Terra ADM Anisotropic Factors

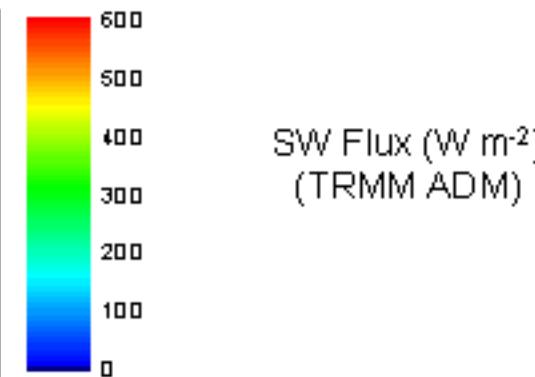
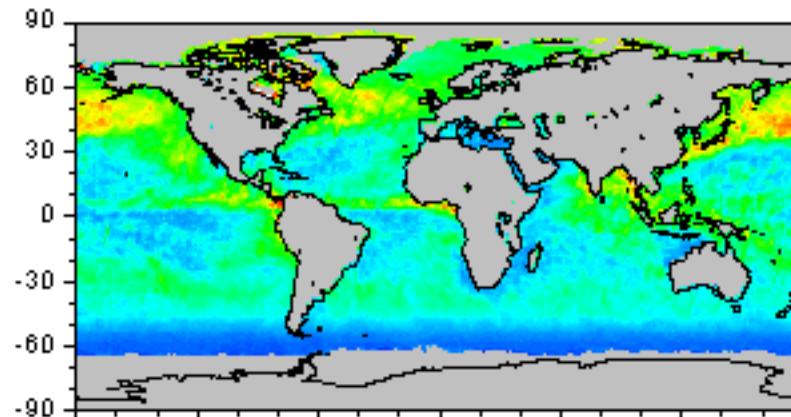
(Ice Clouds; Ocean;  $\theta_0=44^\circ\text{--}46^\circ$ ;  $f e^{<\ln r>} = 5$ )



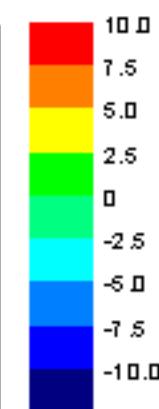
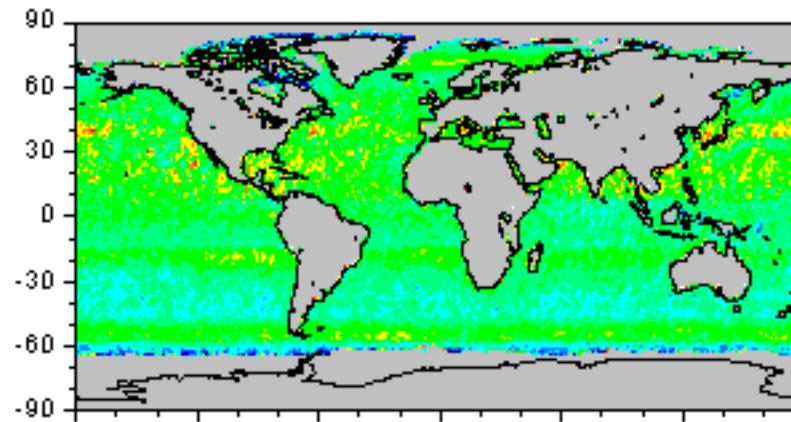
Theory vs CERES SW ADMs  
(Ocean;  $\theta_0=44^\circ\text{--}46^\circ$ ;  $f e^{<\ln \tau>} = 5$ )



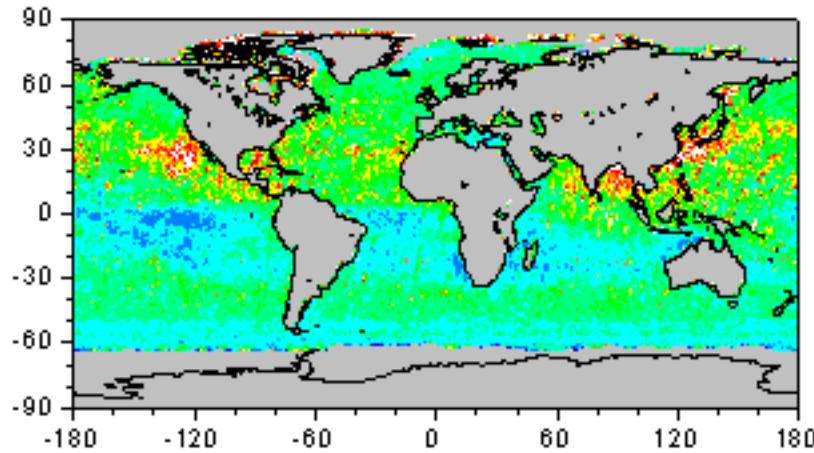
## All-Sky Ocean SW TOA Flux: Terra, June 2000 (RAP+FAP)



SW Flux ( $\text{W m}^{-2}$ )  
(TRMM ADM)

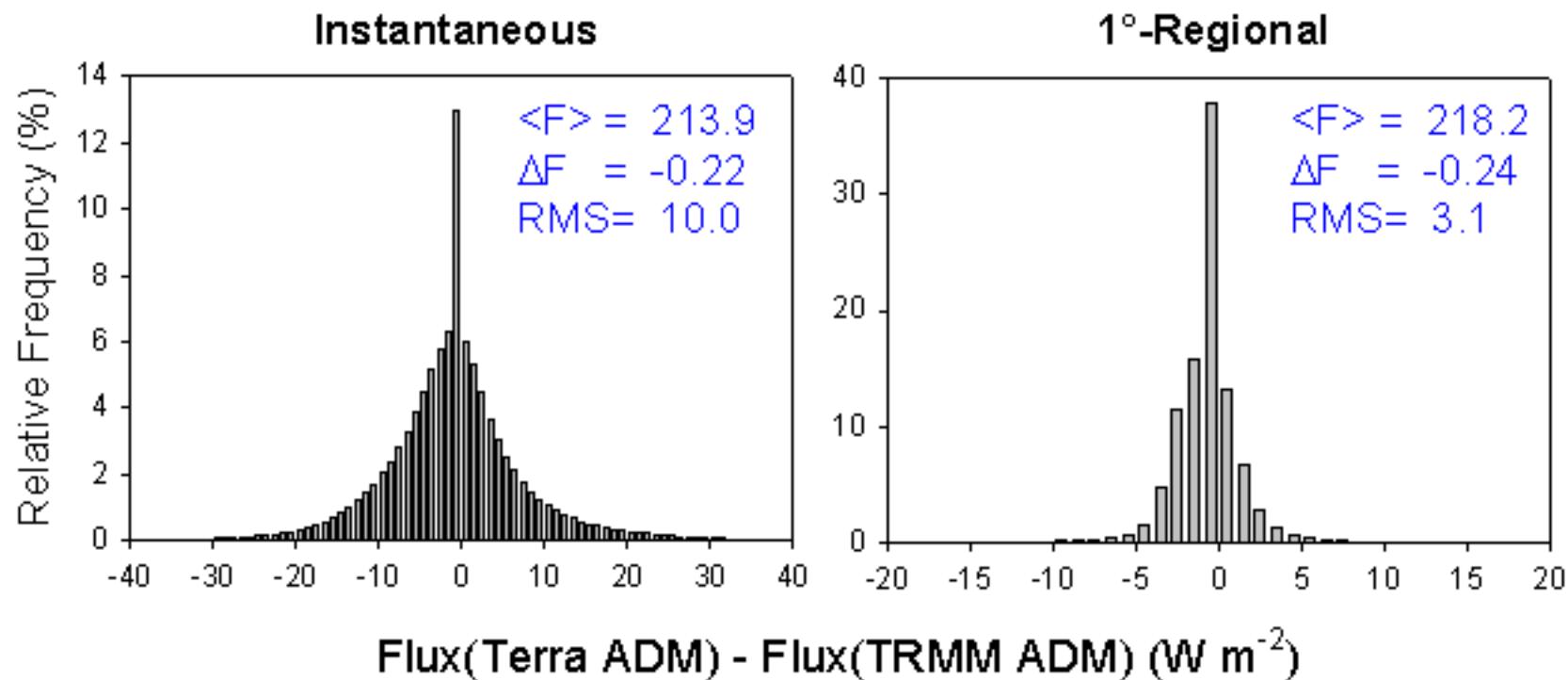


SW Flux Difference ( $\text{W m}^{-2}$ )  
(Terra ADM - TRMM ADM)

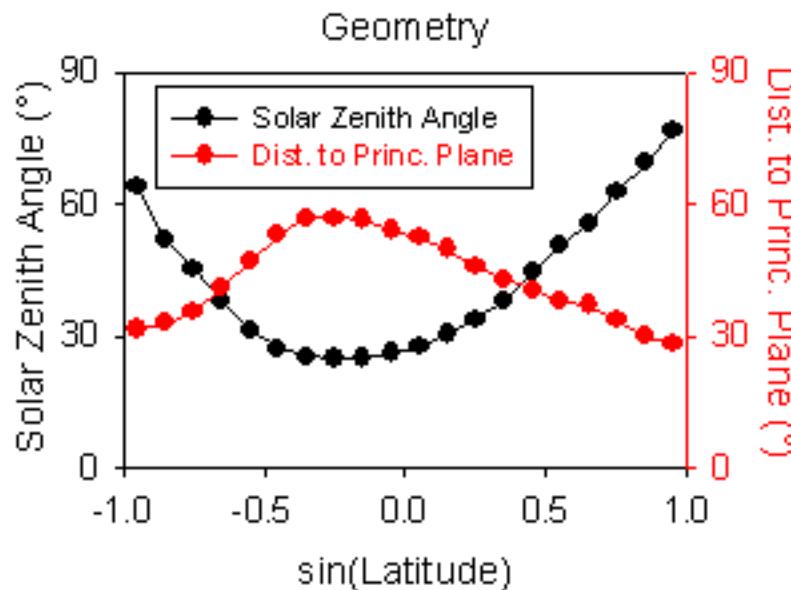
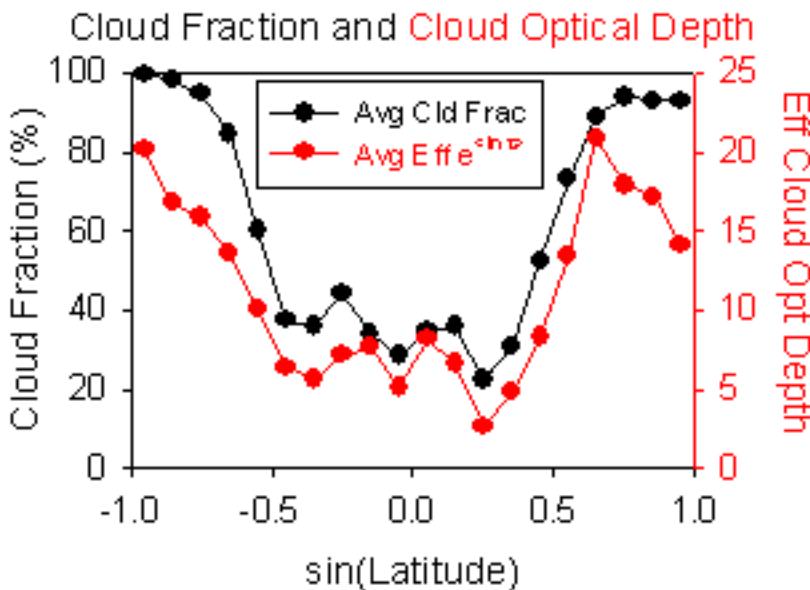
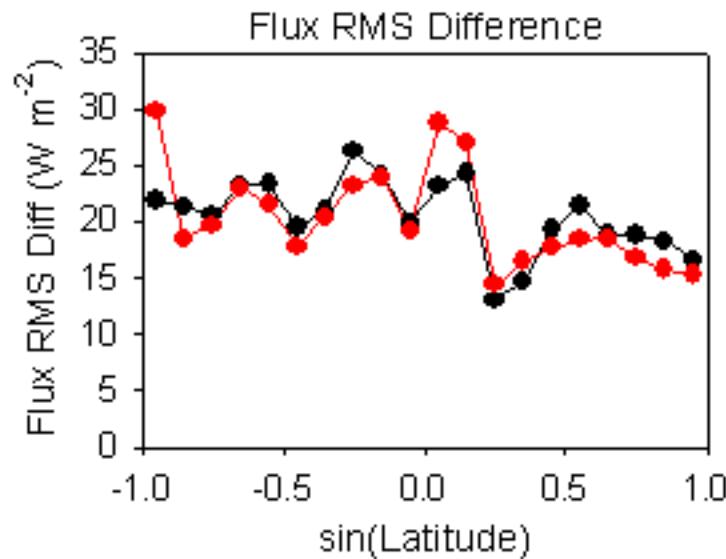
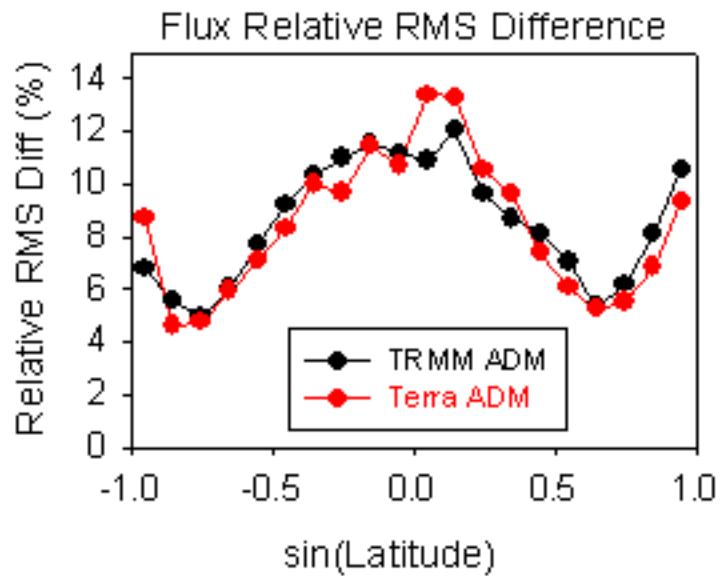


SW Flux RMS Difference ( $\text{W m}^{-2}$ )  
(Terra ADM - TRMM ADM)

## All-Sky Ocean SW TOA Flux Differences (June, 2000; RAP+FAP)



**All-Sky Ocean Instantaneous SW TOA Flux Consistency Tests: 1° Regions**  
 (F(Nadir) vs F( $60^\circ < \theta \leq 70^\circ$ ); November to April, 2000, 2001)



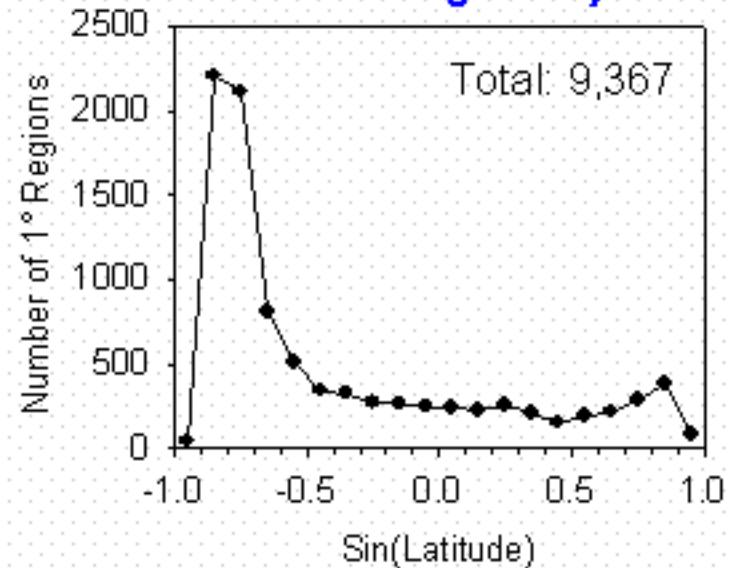
## Instantaneous TOA Flux Consistency by “Cloud Type”

Separate 1°-regions comprised of CERES FOVs that are:

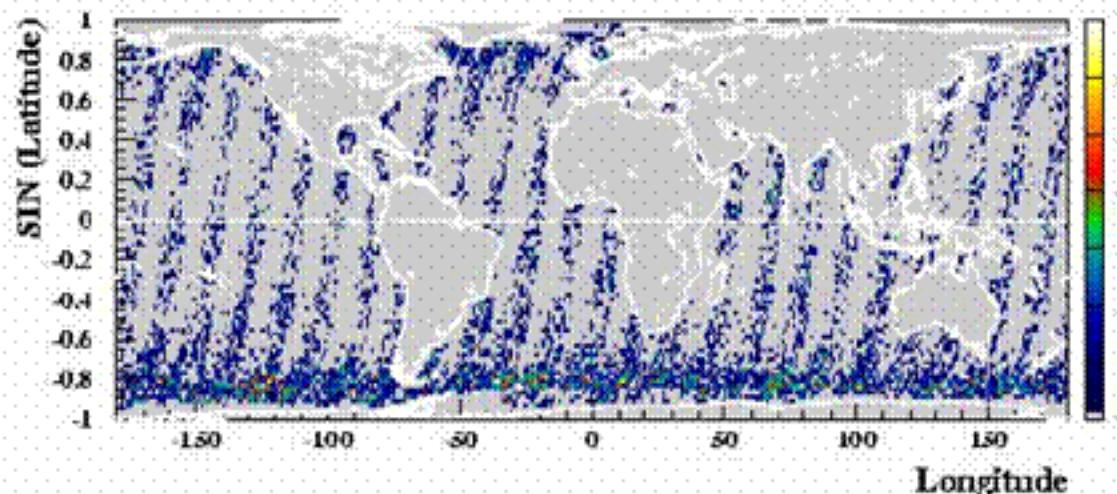
1. Single Layer Water (Overcast)
2. Single Layer Water ( $f \leq 50\%$ )
3. Single Layer Water ( $f > 50\%$ )
4. Single Layer Ice ( $e^{<\ln \tau>} < 10$ )
5. Single Layer Ice ( $10 \leq e^{<\ln \tau>} \leq 50$ )
6. Single Layer Ice ( $e^{<\ln \tau>} > 50$ )
7. Single Layer Mixed
8. Multilayer

# Frequency of 1° Regions by Latitude and “Cloud Type”

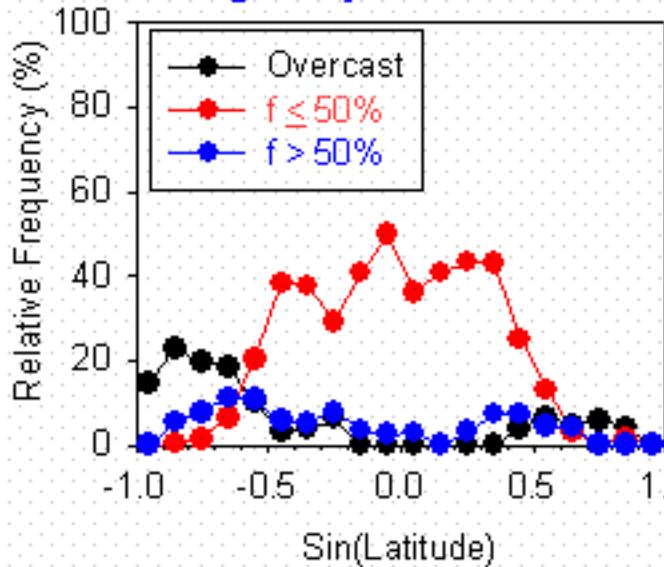
Number of 1° Regions by Latitude



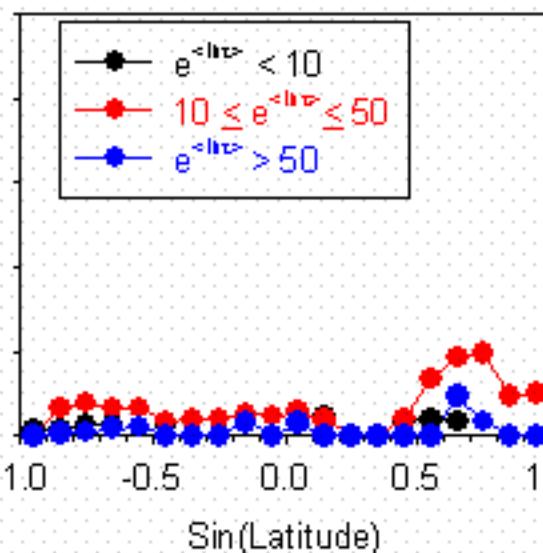
Frequency of 1° Regions: Nov 2000-April 2001



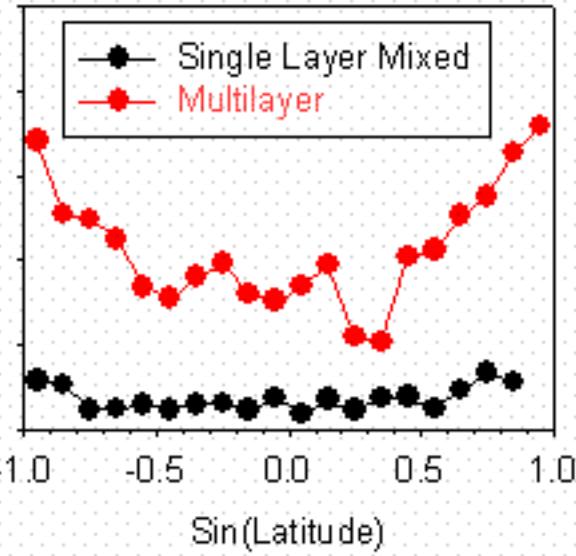
Single Layer Water Clouds



Single Layer Ice Clouds

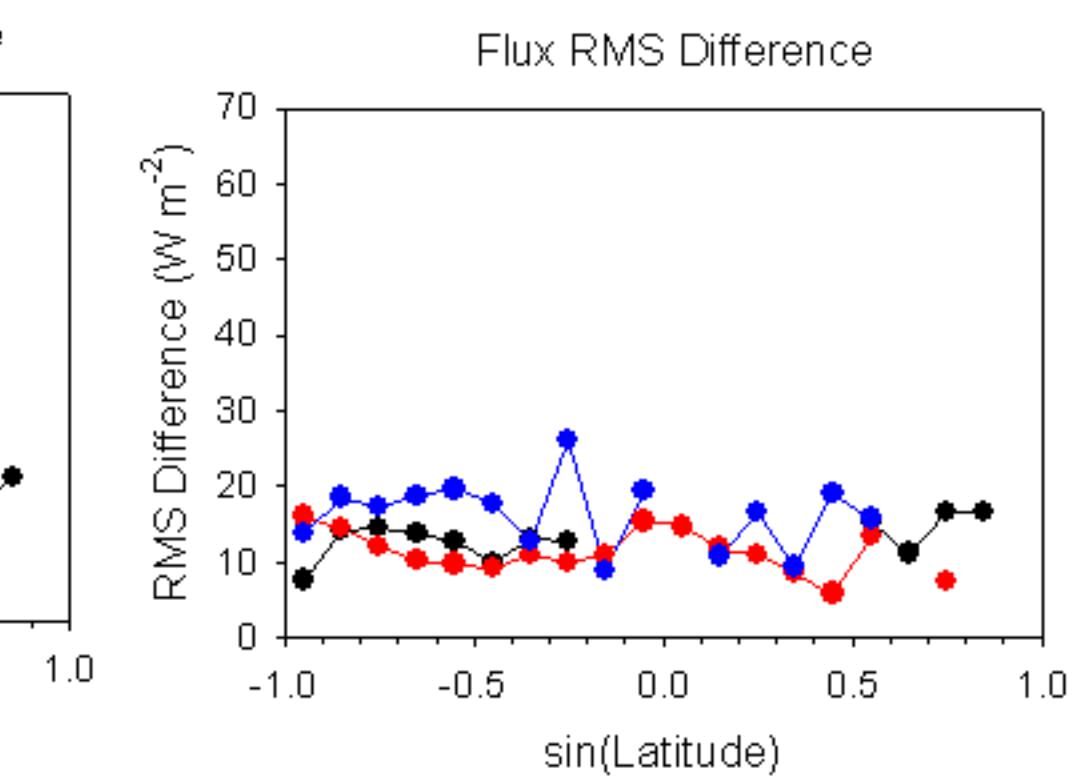
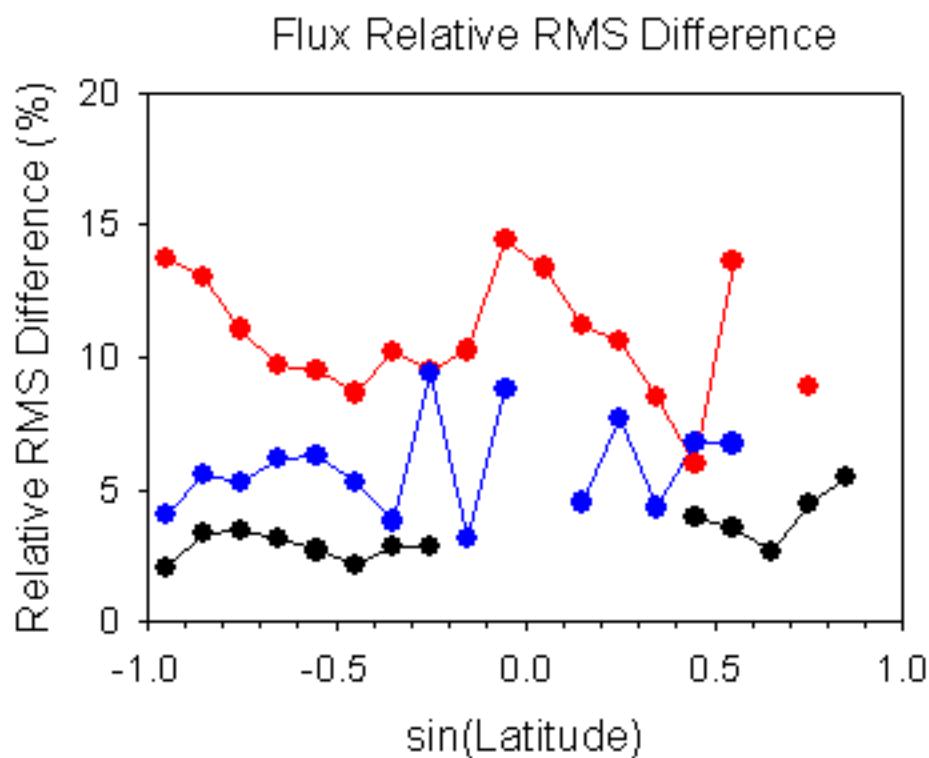


Single Layer Mixed+Multilayer



Instantaneous SW TOA Flux Consistency Tests: 1° Regions  
( $F(\text{Nadir})$  vs  $F(60^\circ \leq \theta \leq 70^\circ)$ ; November to April, 2000, 2001)

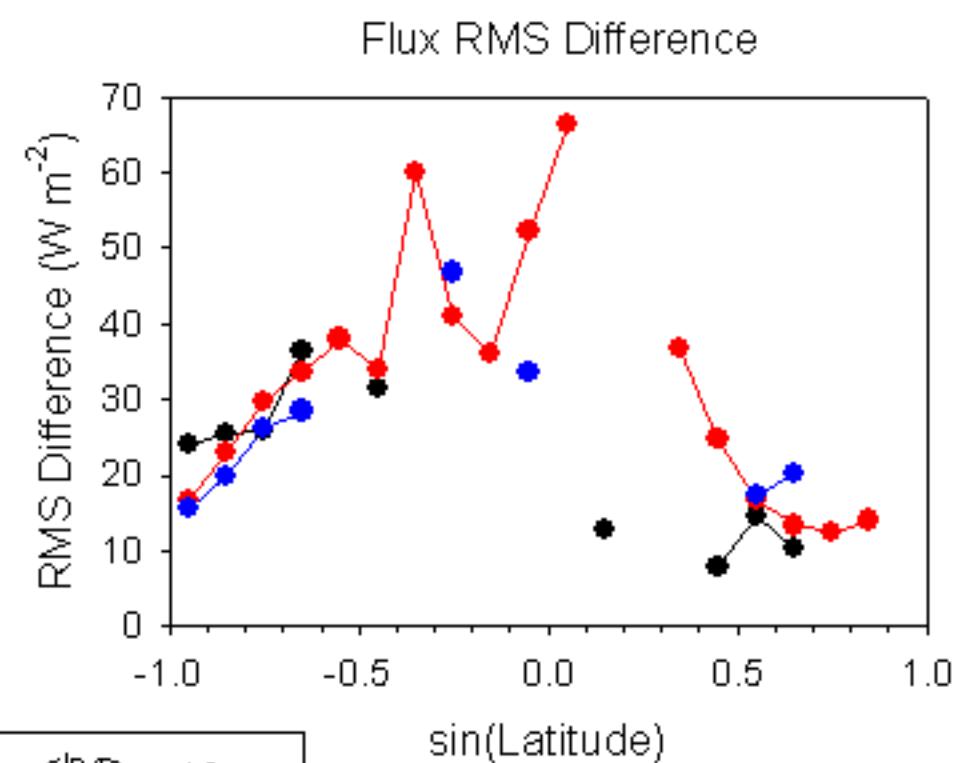
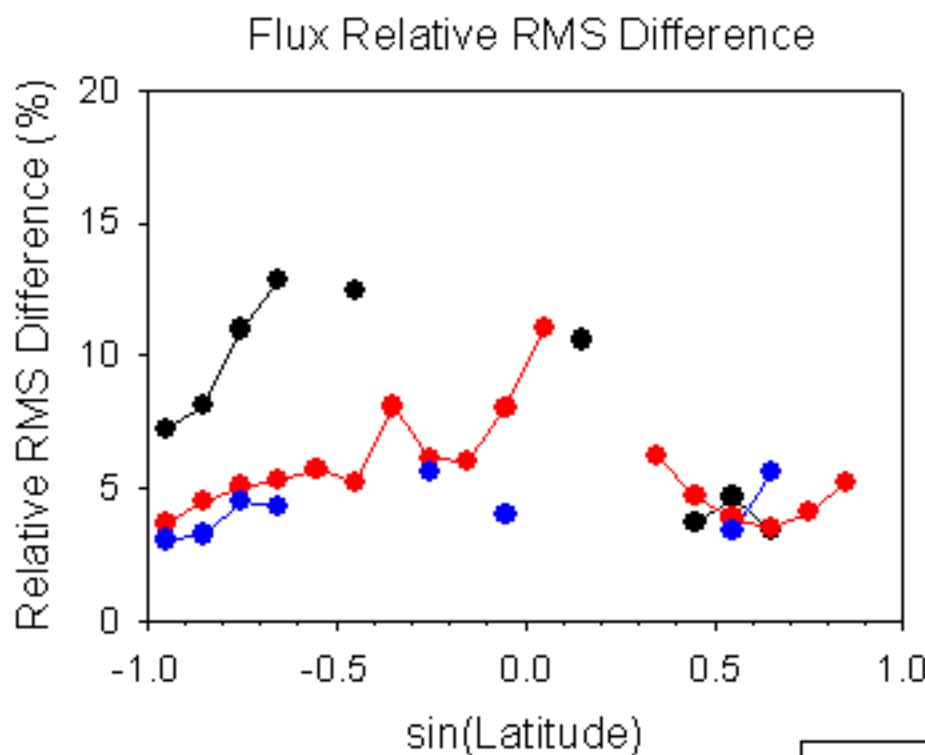
Single Layer Water Clouds Over Ocean



● Overcast  
●  $f < 50\%$   
●  $f > 50\%$

Instantaneous SW TOA Flux Consistency Tests: 1° Regions  
( $F(\text{Nadir})$  vs  $F(60^\circ \leq \theta \leq 70^\circ)$ ; November to April, 2000, 2001)

Single Layer Ice Clouds Over Ocean

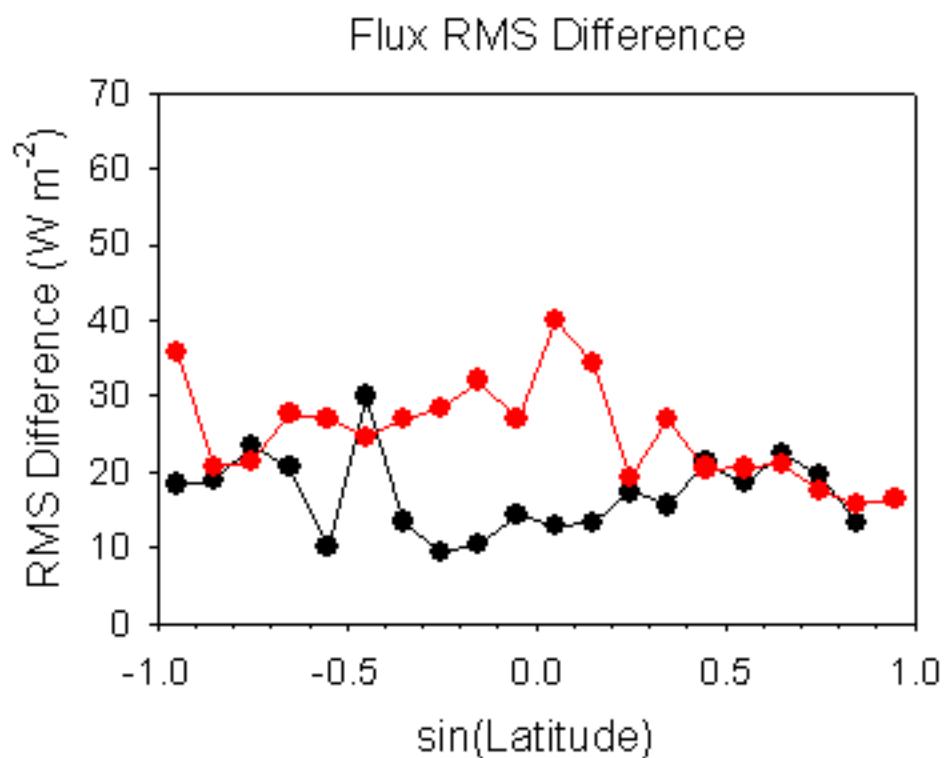
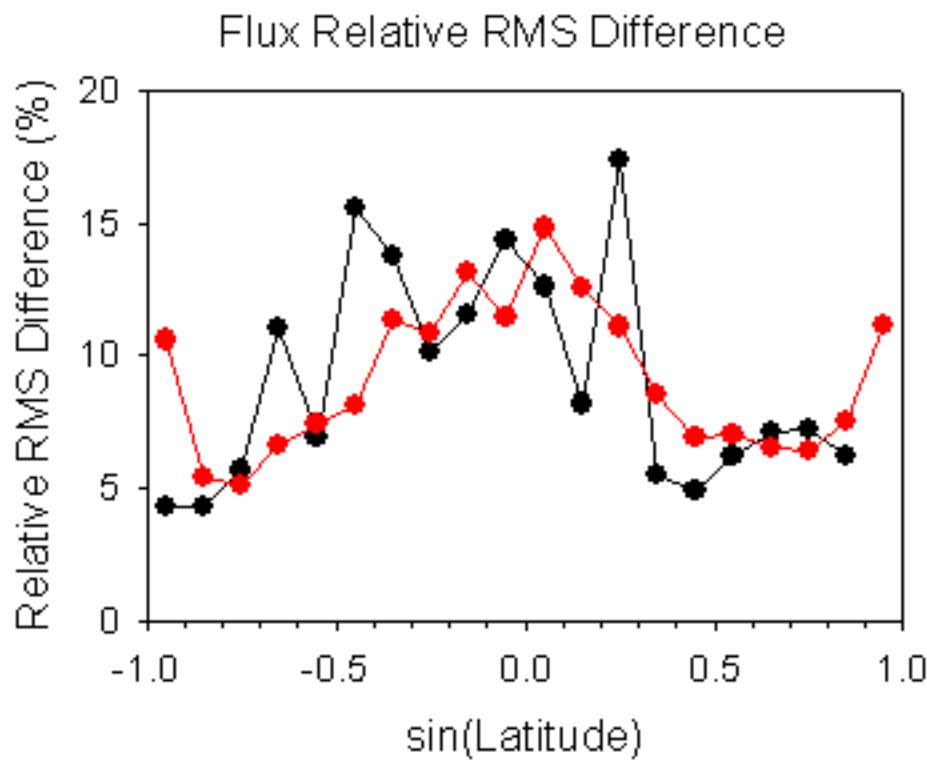


Legend:

- Black circle:  $e^{<\ln F>} < 10$
- Red circle:  $10 < e^{<\ln F>} < 50$
- Blue circle:  $e^{<\ln F>} > 50$

Instantaneous SW TOA Flux Consistency Tests: 1° Regions  
( $F(\text{Nadir})$  vs  $F(60^\circ < \theta \leq 70^\circ)$ ; November to April, 2000, 2001)

Single Layer Mixed and Multilayer Clouds Over Ocean



—●— Single Layer Mixed  
—●— Multilayer

Terra SW ADMs – Clear Land & Desert

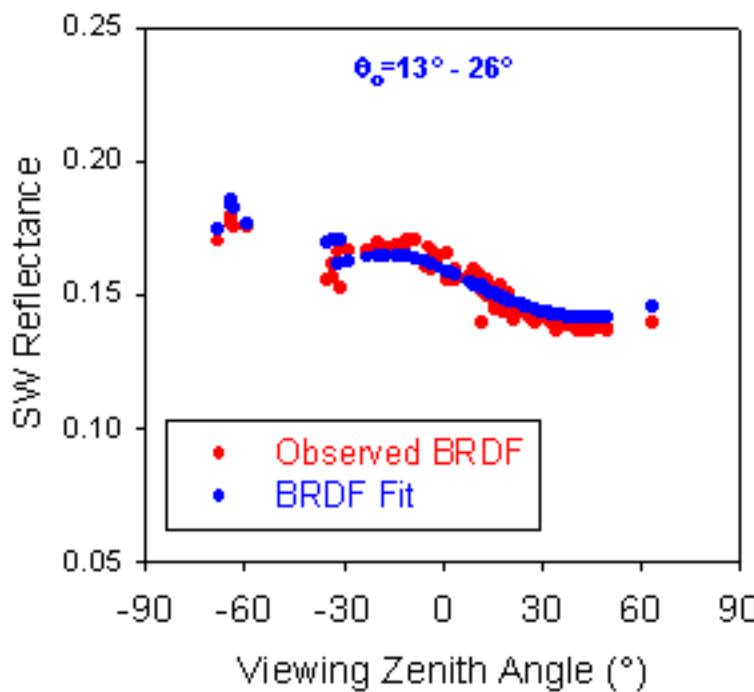
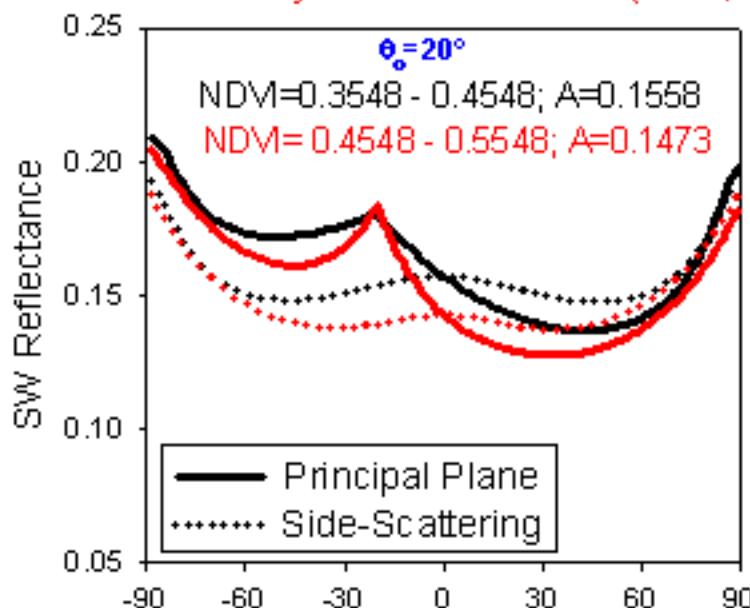
## Terra SW ADMs – Clear Land & Desert

- Collect one month of clear land CERES reflectances over  $\approx 1^\circ$  equal-area regions. Stratify by solar zenith angle and TOA NDVI.
- If sampling over angle is sufficient, use an 8-parameter nonparametric fit (from Ahmad and Deering, 1992) to produce brdf and ADM for the  $\approx 1^\circ$  region.

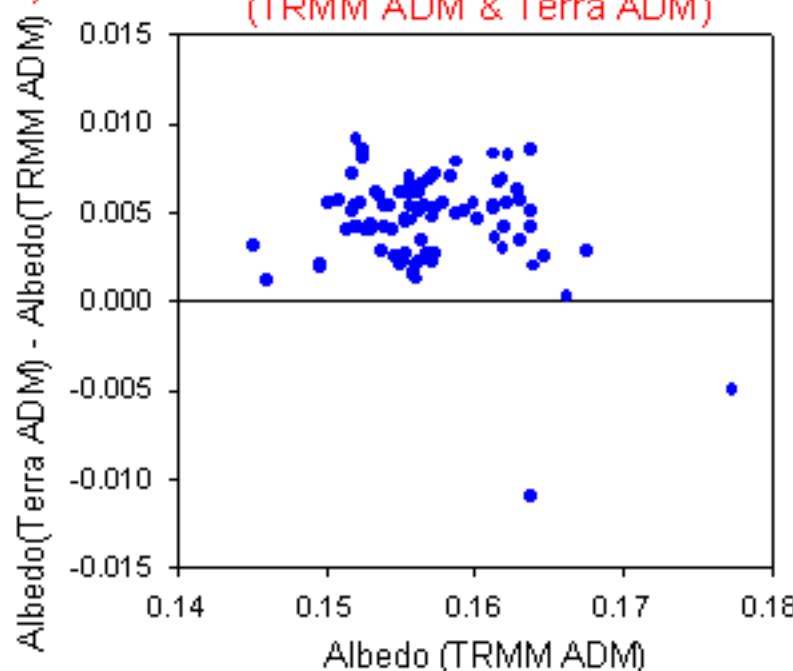
$$r(\mu, \phi, \mu_o) = \frac{1}{4} \frac{\omega}{\mu + \mu_o} \left\{ 1 - \exp \left[ -\tau \left( \frac{1}{\mu} + \frac{1}{\mu_o} \right) \right] \right\} \cdot \left( P(\alpha)[1 + B(\alpha')] \right) + \frac{1}{4} \frac{\omega}{\mu + \mu_o} \\ \cdot \left[ H^{(0)}(\mu) H^{(0)}(\mu_o) (1 - e(\mu + \mu_o)) - b(1 - \omega) \mu \mu_o + b(1 - \mu^2)^{1/2} \cdot (1 - \mu_o^2)^{1/2} H^{(1)}(\mu) H^{(1)}(\mu_o) \cos \phi \right] \\ - \frac{1}{4} \frac{\omega}{\mu + \mu_o} P'(\alpha) + \left( d_o + \frac{d_1}{\mu + \mu_o} \right)$$

- Multiple scattering based on Chandrasekhar's RT solution for semi-infinite medium.
- "Hot-spot" modeled using empirical term (Hapke, 1986).

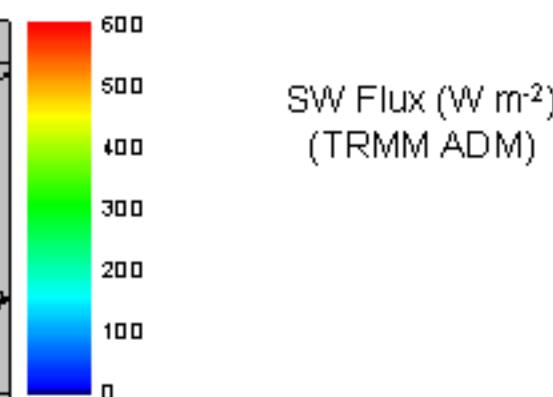
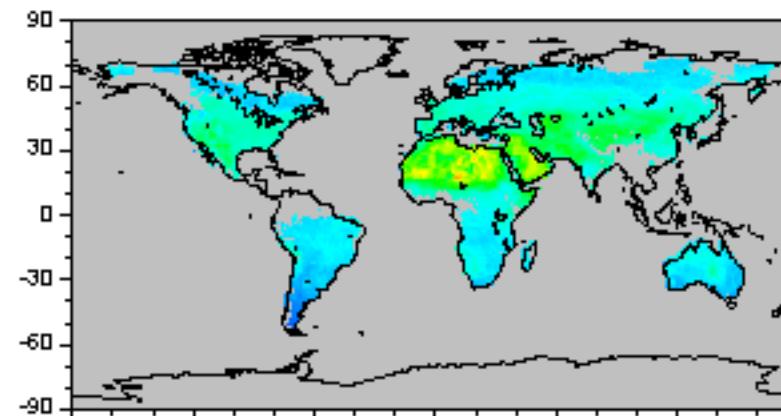
BRDF in Vicinity of SGP ARM Site (June, 2000)



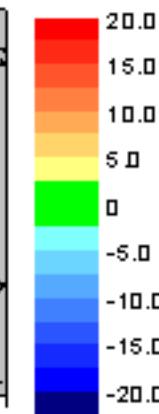
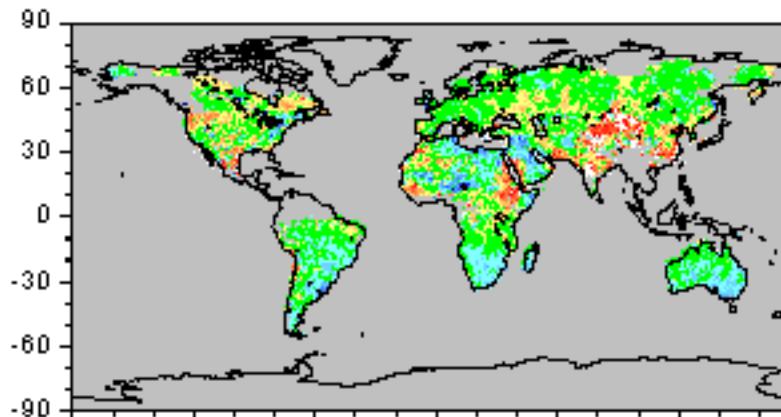
Instantaneous Albedos  
(TRMM ADM & Terra ADM)



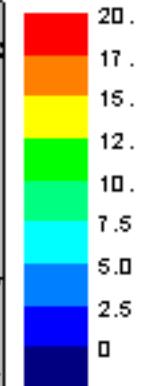
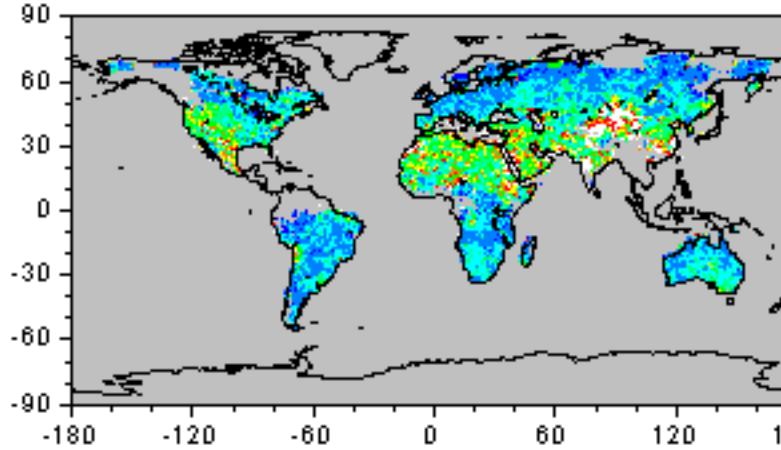
## Clear Land SW TOA Flux: Terra, June 2000 (RAP+FAP)



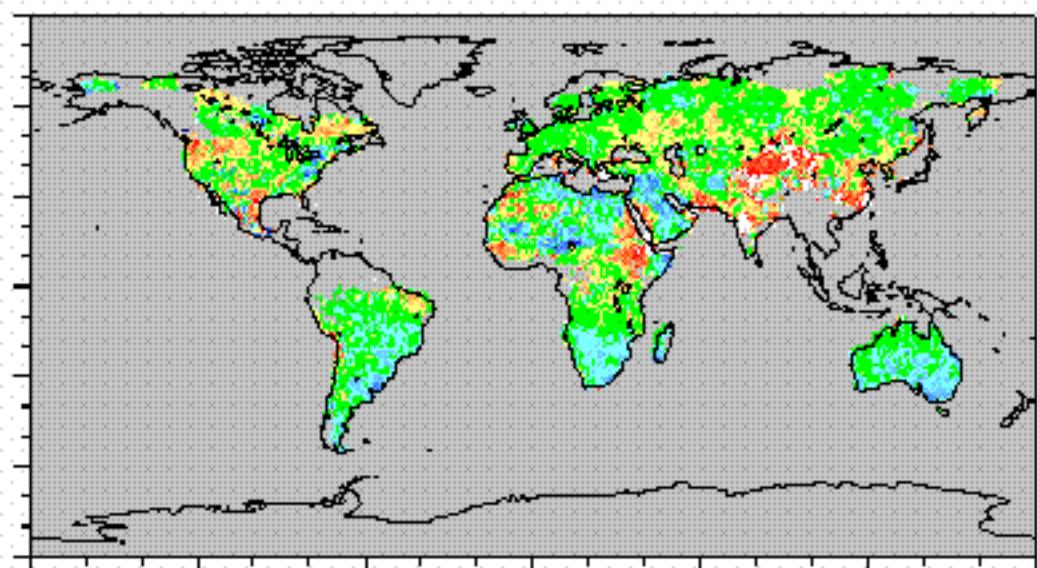
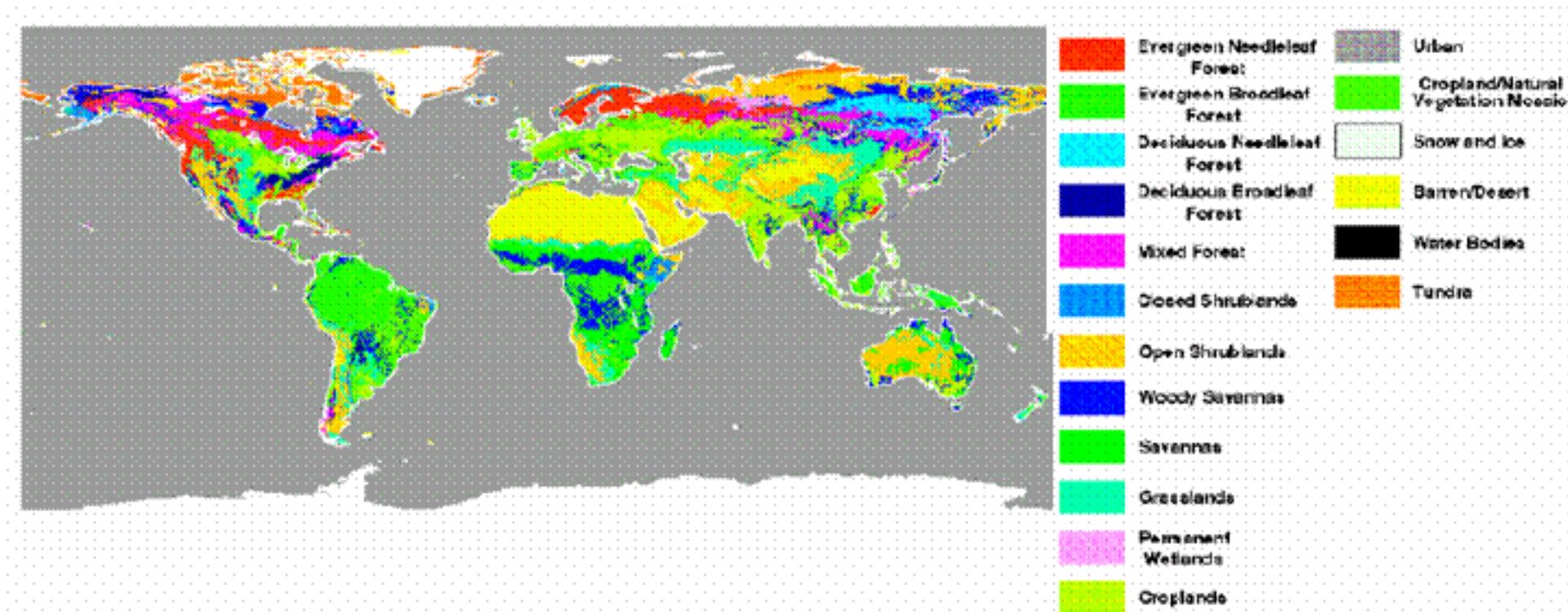
SW Flux ( $\text{W m}^{-2}$ )  
(TRMM ADM)



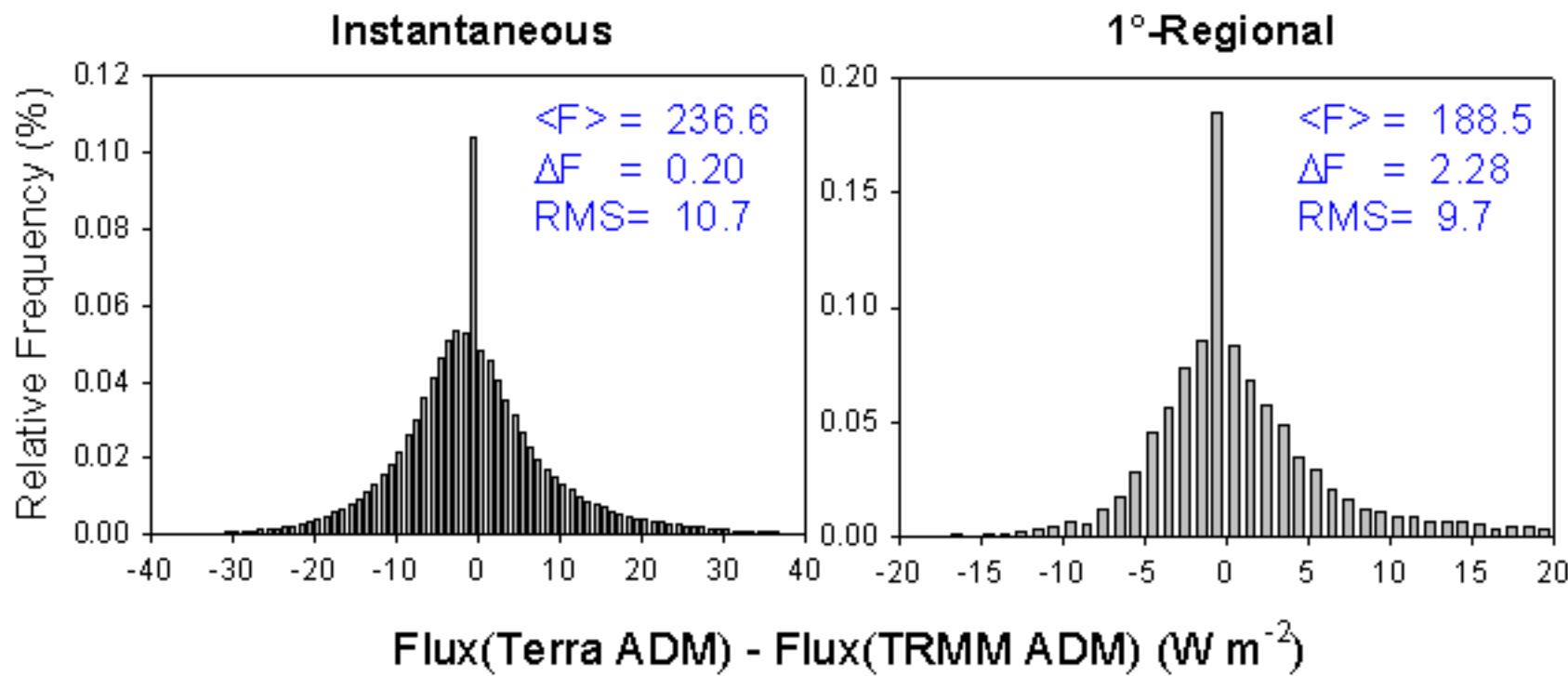
SW Flux Difference ( $\text{W m}^{-2}$ )  
(Terra ADM - TRMM ADM)



SW Flux RMS Difference ( $\text{W m}^{-2}$ )  
(Terra ADM - TRMM ADM)



## Clear Land SW TOA Flux Differences (June, 2000; RAP+FAP)



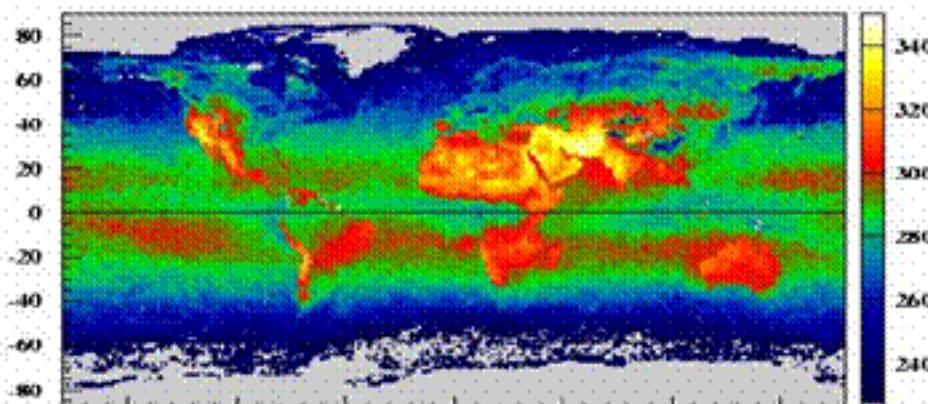
## Terra LW ADMs – Clear Ocean, Land & Desert

See Manalo-Smith ADM WG Presentation

## Longwave and Window ADM Scene Types for Clear Scenes

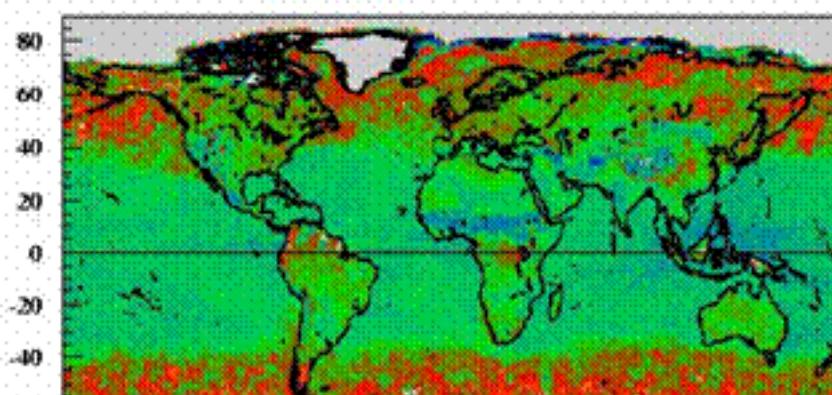
Scene Type Parameters	TRMM	Terra
Surface Type	Ocean Land Desert	Ocean Forest, Cropland/Grass, Savanna, Bright Desert, Dark Desert
Precipitable Water	Intervals (Percentile) ≤33 33 – 66 ≥ 66	Intervals (cm) ≤1 1 - 3 3 - 5 > 5
Vertical Temperature Change	Intervals (Percentiles) Inversion ( $\Delta T < 0$ ) 0-25 25-50 50-75 >75	Intervals (°C) < 15 15 – 30 30 – 45 > 45
Skin Temperature		Intervals (°K) < 270 270 – 290 290 – 310 310 – 330 > 330

## Clear-Sky LW TOA Flux: Terra, 422 Days (RAP+FAP)



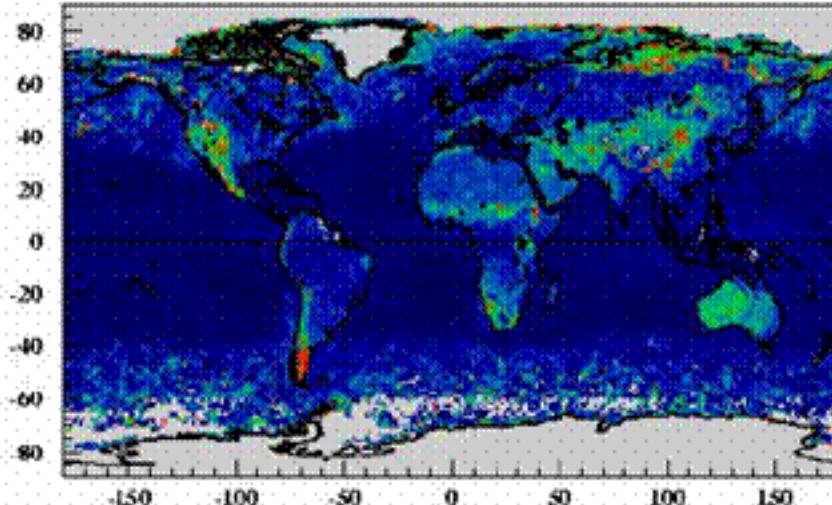
LW Flux ( $\text{W m}^{-2}$ )  
(TRMM ADM)

Mean =  $298.62 \text{ W m}^{-2}$



LW Flux Difference ( $\text{W m}^{-2}$ )  
(Terra ADM - TRMM ADM)

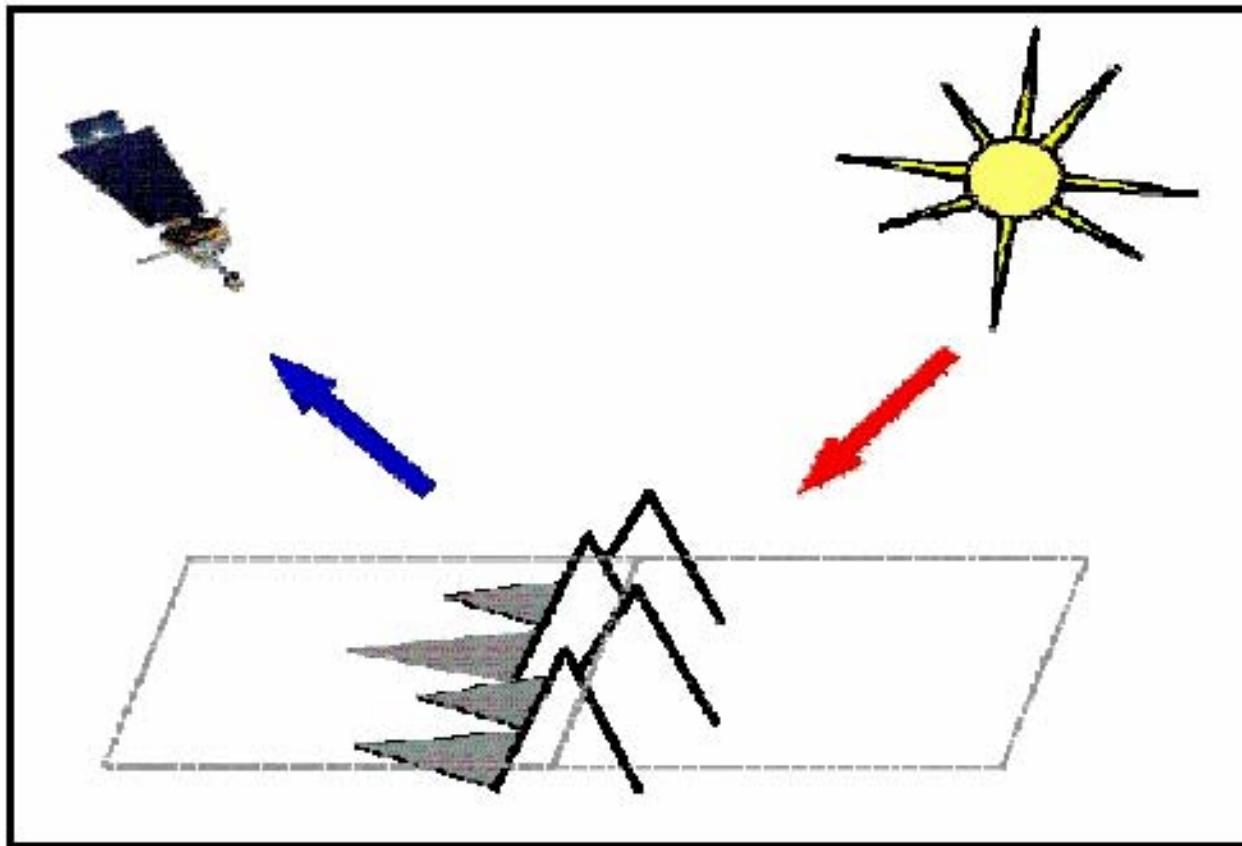
Mean Difference =  $-0.118 \text{ W m}^{-2}$



LW Flux RMS Difference ( $\text{W m}^{-2}$ )  
(Terra ADM - TRMM ADM)

RMS =  $1.507 \text{ W m}^{-2}$

## Azimuthally-Dependent LW ADMs – Clear Land



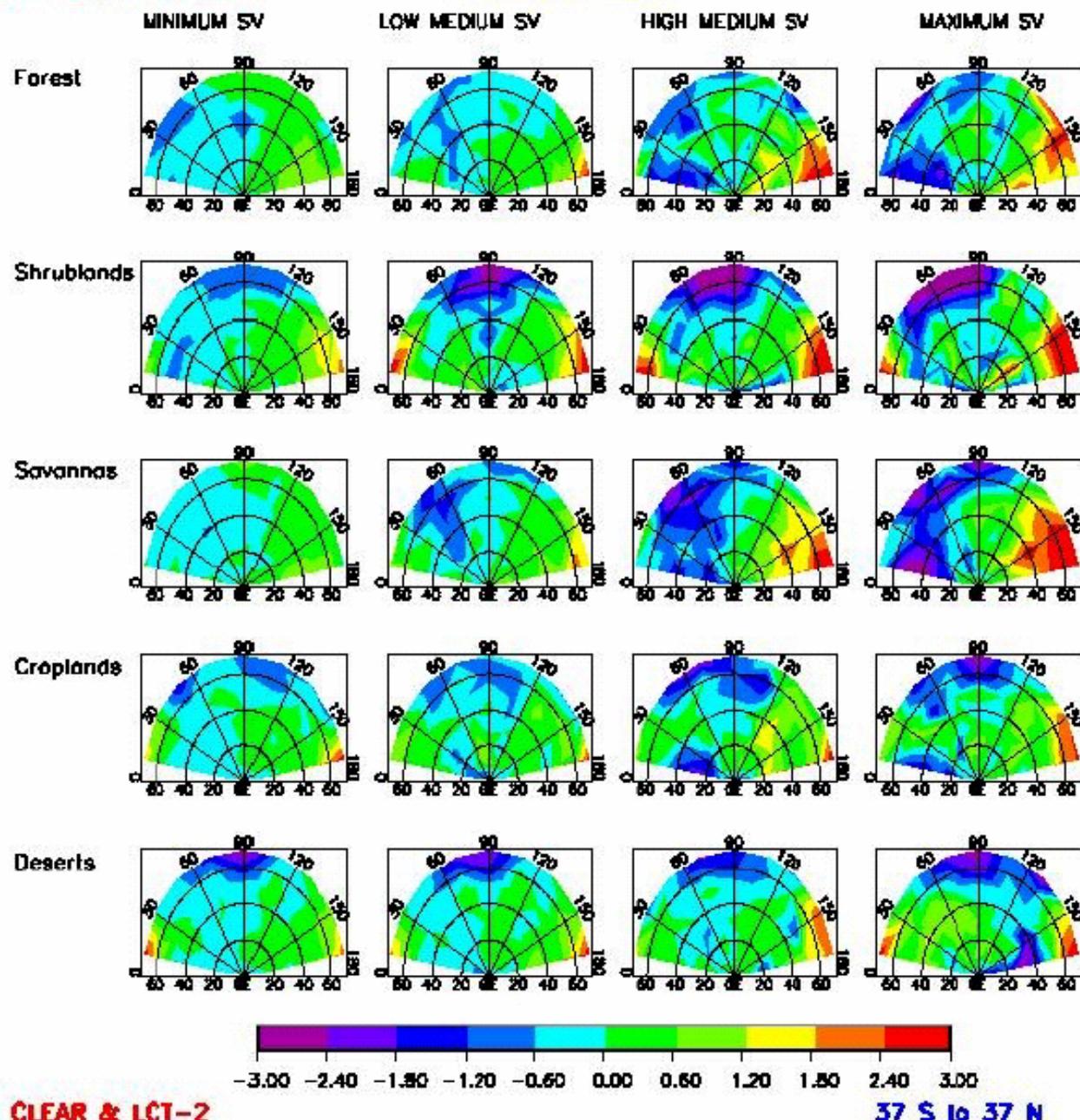
Forward scatter  
Colder temperature measured

Back scatter  
Warmer temperature measured

See Arvind Gambheer ADM WG Presentation

**SV = Surface Variability**

**TRMM (LW RAD)**



Terra LW ADMs – Clouds Over Ocean

## Terra LW ADMs – Clouds Over Ocean

-For Intervals of:

- i) precipitable water (4 intervals)
- ii) skin temperature (5 intervals)
- iii) surface-cloud temp difference (5 intervals)

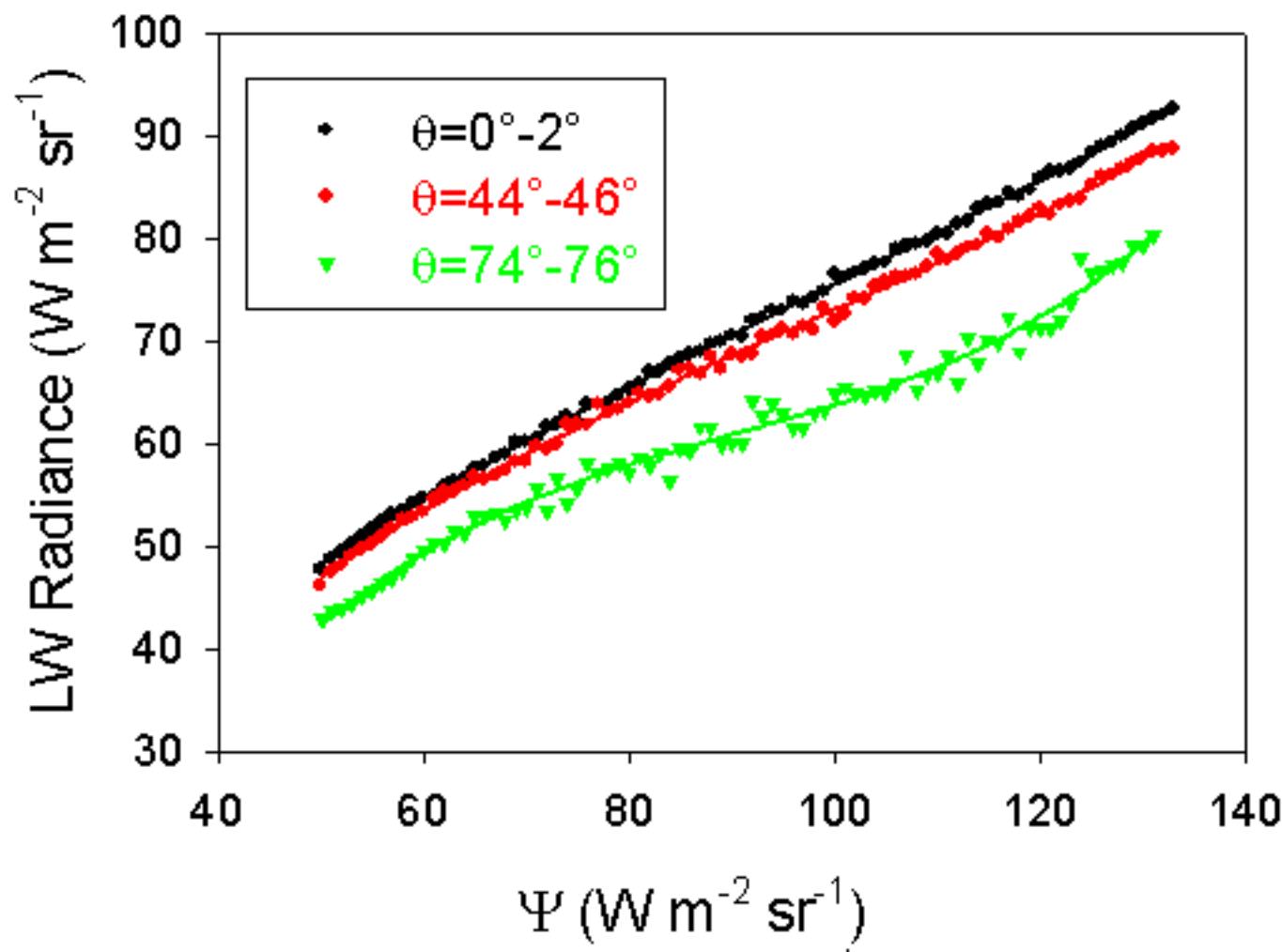
Derive functional fits between in  $2^\circ$  viewing zenith angle bins  
between CERES LW radiances and the parameter  $\psi$  defined by:

$$\begin{aligned}\psi(\Delta w, \Delta z_t; f, \varepsilon_s, T_s, \varepsilon_c, T_c) = & (1-f)\varepsilon_s B(T_s) + \\ & \sum_{j=1}^2 \left( \varepsilon_s B(T_s) [1 - \varepsilon_{c_j}(\theta)] + \varepsilon_{c_j}(\theta) B(T_{c_j}) \right) f_j\end{aligned}$$

$$\varepsilon_c(\theta) = 1 - e^{\tau_a / \cos \theta}; \quad f = f_1 + f_2$$

# LW Radiance vs $\Psi$

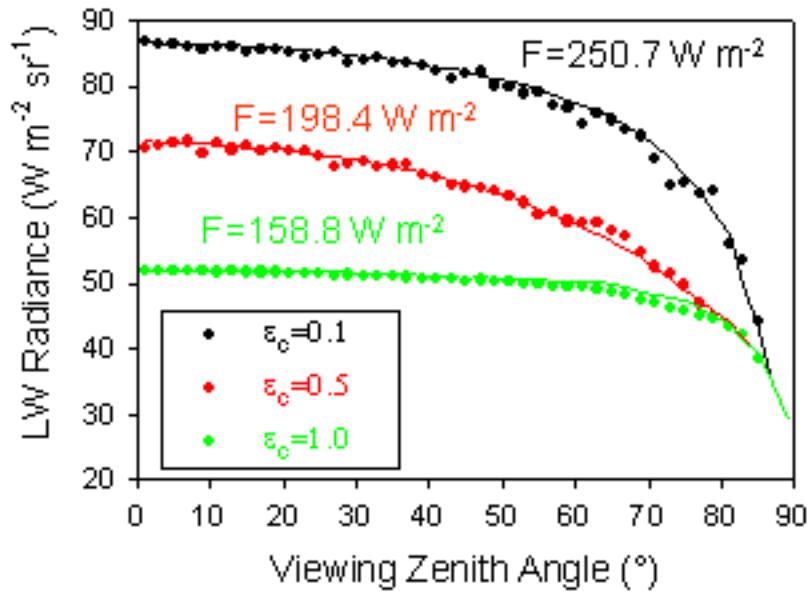
( $290^{\circ}\text{K} < T_s < 295^{\circ}\text{K}$ ;  $55^{\circ}\text{K} < T_s - T_c < 60^{\circ}\text{K}$ )



$$\psi(\Delta w, \Delta z_t; f, \varepsilon_s, T_s, \varepsilon_c, T_c) = (1-f)\varepsilon_s B(T_s) + \sum_{j=1}^J \left( \varepsilon_s B(T_s)(1-\varepsilon_{c_j}) + \varepsilon_{c_j} B(T_{c_j}) \right) f_j$$

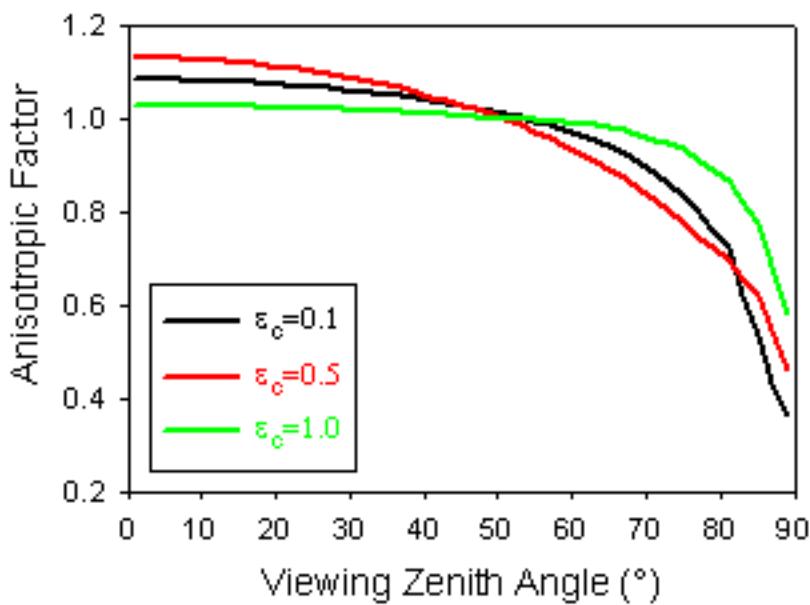
LW Radiance vs  $\theta$

( $\varepsilon=1.0$ ;  $290^{\circ}\text{K} \leq T_s \leq 295^{\circ}\text{K}$ ;  $55^{\circ}\text{K} \leq T_s - T_c \leq 60^{\circ}\text{K}$ )

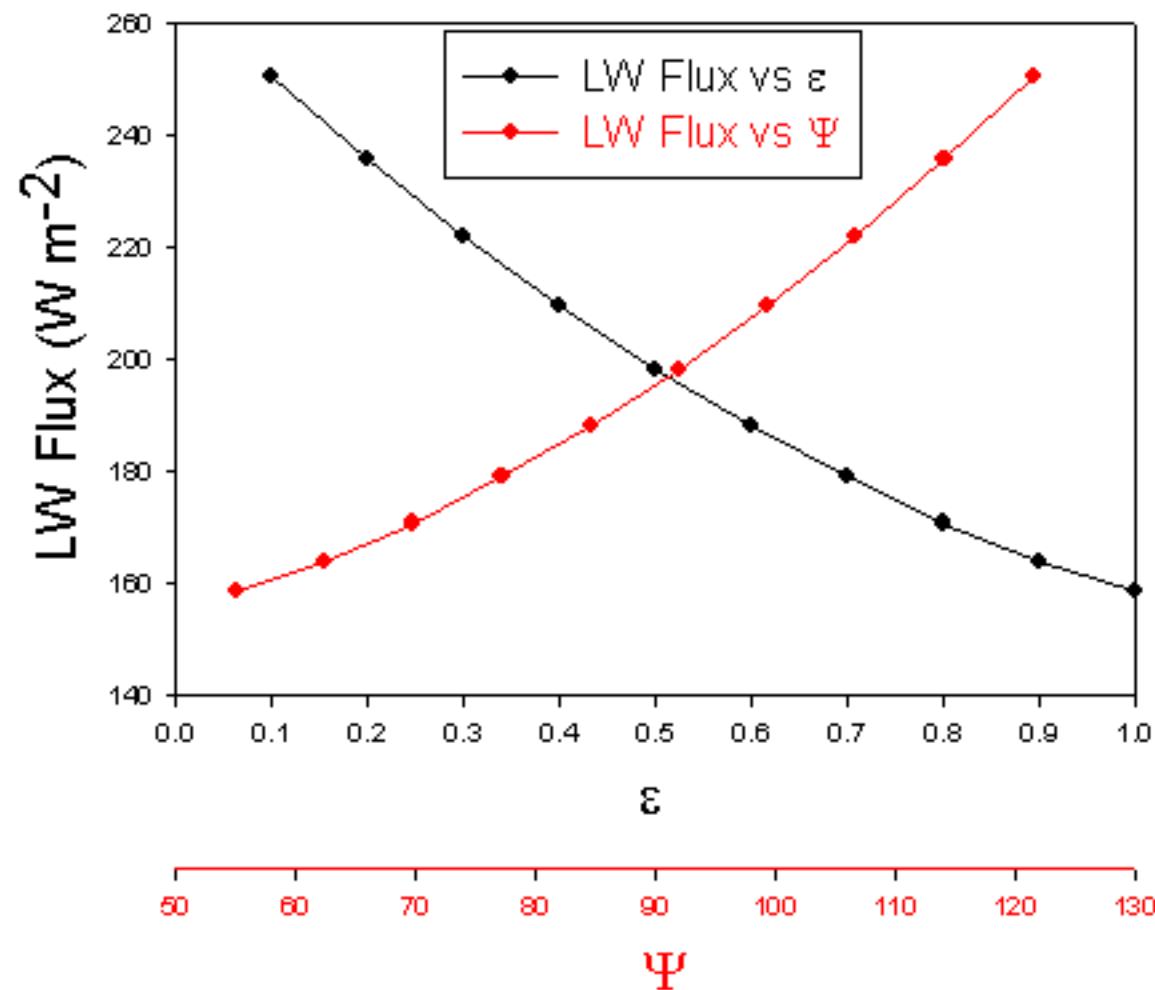


LW ADM vs  $\theta$

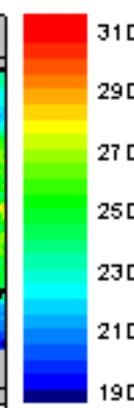
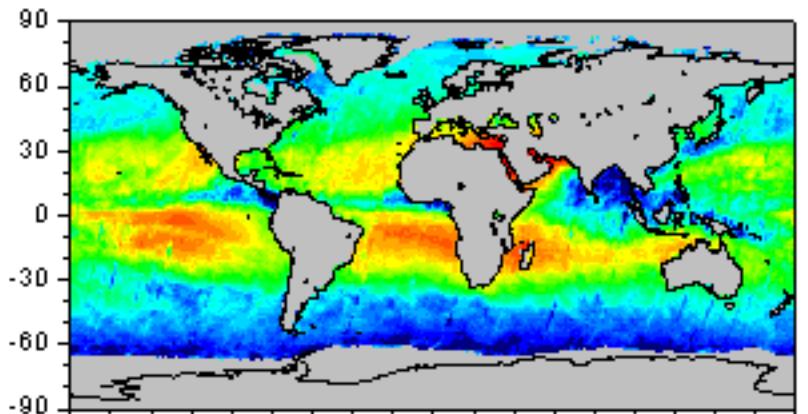
( $\varepsilon=1.0$ ;  $290^{\circ}\text{K} \leq T_s \leq 295^{\circ}\text{K}$ ;  $55^{\circ}\text{K} \leq T_s - T_c \leq 60^{\circ}\text{K}$ )



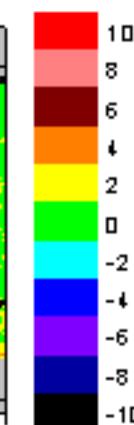
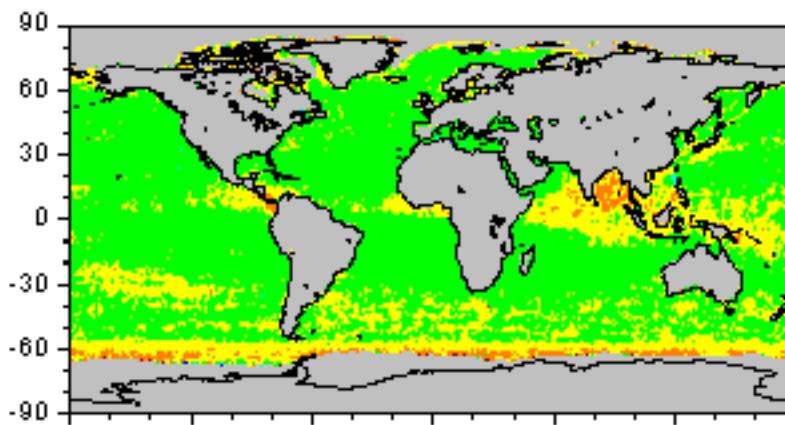
LW Flux vs Cloud Emissivity and  $\Psi$   
( $f=1.0$ ;  $290^{\circ}\text{K} \leq T_s \leq 295^{\circ}\text{K}$ ;  $55^{\circ}\text{K} \leq T_s - T_c \leq 60^{\circ}\text{K}$ )



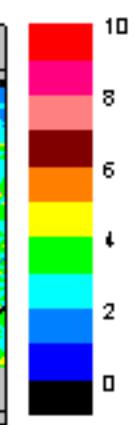
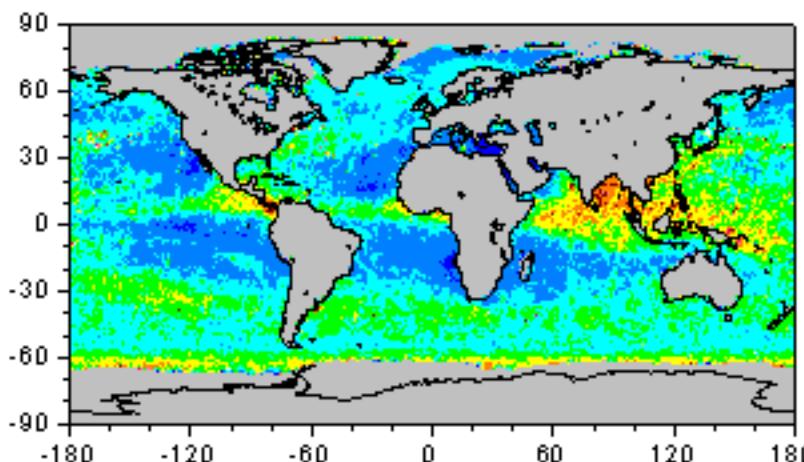
# All-Sky Ocean LW TOA Flux: Terra, June 2000 (RAP+FAP)



LW Flux ( $\text{W m}^{-2}$ )  
(TRMM ADM)



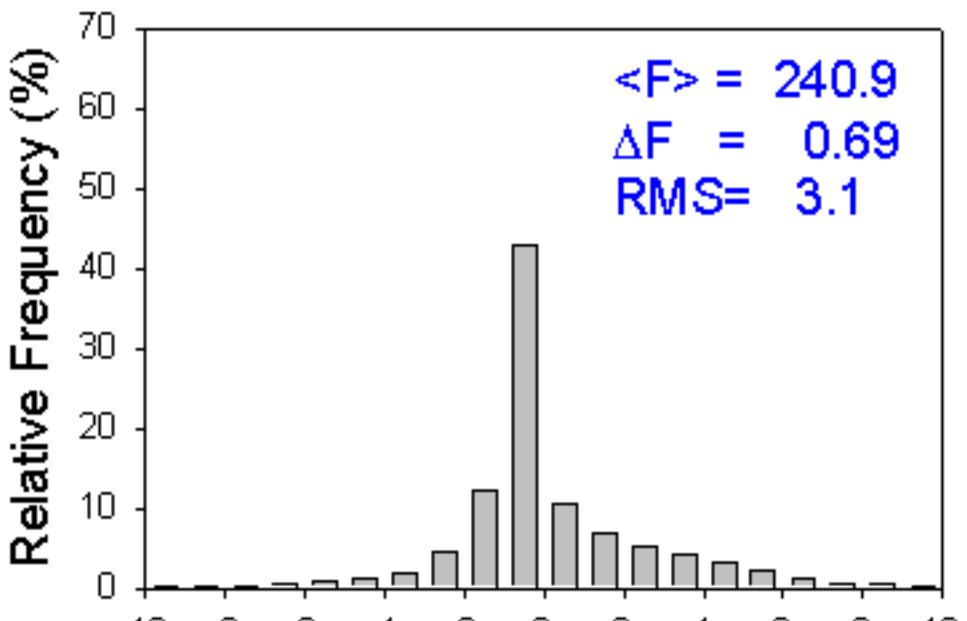
LW Flux Difference ( $\text{W m}^{-2}$ )  
(Terra ADM - TRMM ADM)



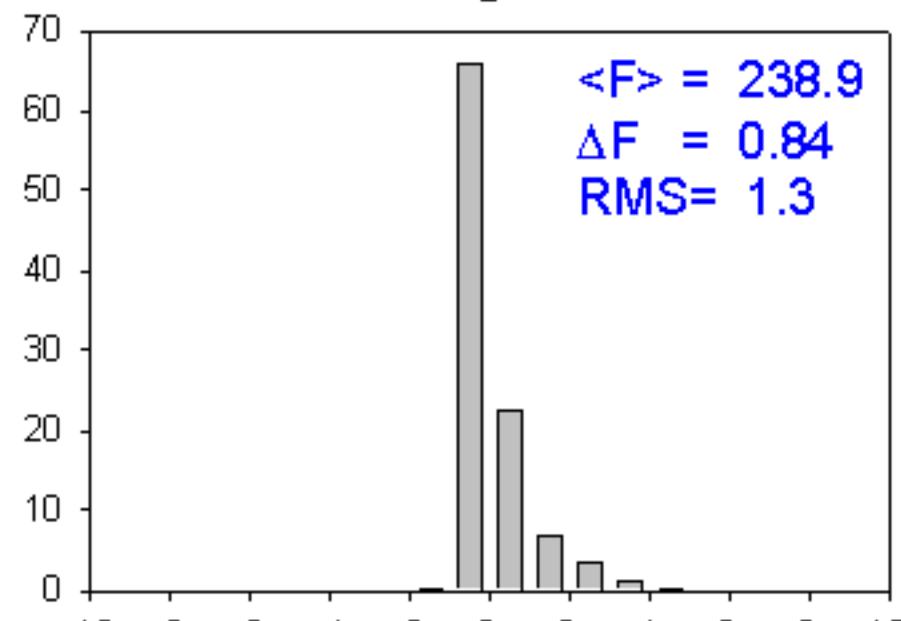
LW Flux RMS Difference ( $\text{W m}^{-2}$ )  
(Terra ADM - TRMM ADM)

## All-Sky Ocean LW TOA Flux Differences (June, 2000; RAP+FAP)

Instantaneous



1°-Regional



Flux(Terra ADM) - Flux(TRMM ADM) ( $\text{W m}^{-2}$ )

Snow, Sea Ice & Fresh Snow

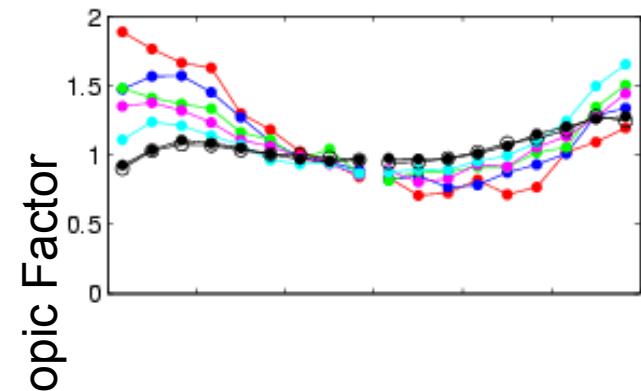
## Terra SW & LW ADMs – Snow, Sea-Ice

	Shortwave	Longwave
Permanent Snow SW (8) LW (132)	Cloud Fraction (6) Cloud (2)	Cloud Fraction (6) Tsfc (6) Tsfc-Tcld (5)
Fresh Snow SW(23) LW(132)	Cloud Fraction (6) Snow Fraction (6) Snow (2), Cloud (2)	Cloud Fraction (6) Tsfc (6) Tsfc-Tcld (5)
Sea Ice SW (23) LW (132)	Cloud Fraction (6) Ice Fraction (6) Ice (2), Cloud (2)	Cloud Fraction (6) Tsfc (6) Tsfc-Tcld (5)

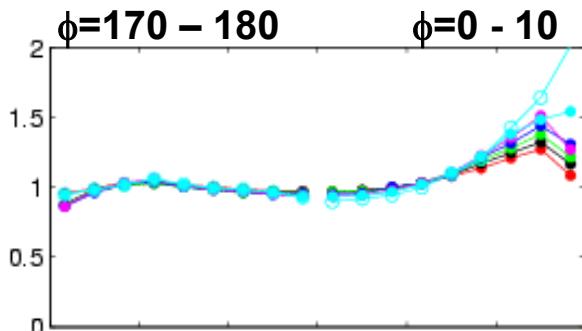
See Seiji Kato ADM WG Presentation

# Sample SW ADMs: $\theta_o=55^\circ - 60^\circ$

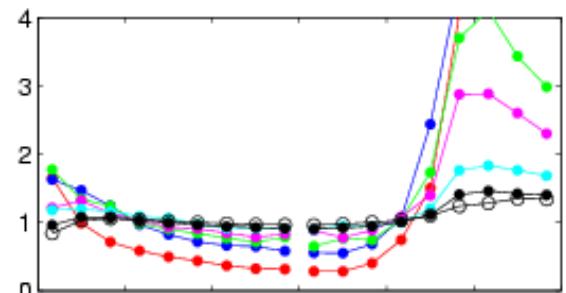
Fresh Snow



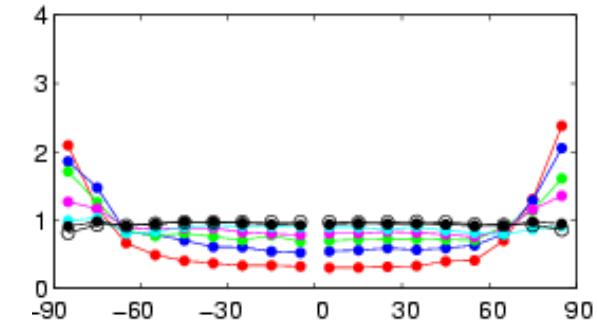
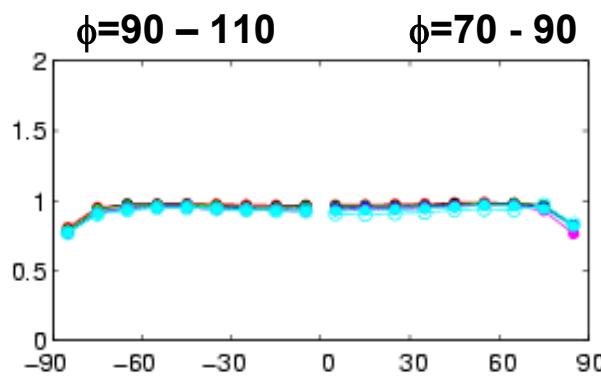
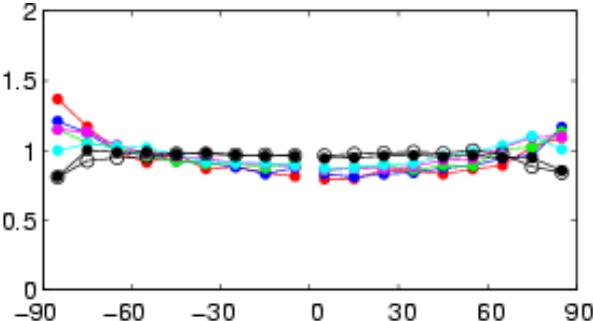
Permanent Snow



Sea Ice



Anisotropic Factor



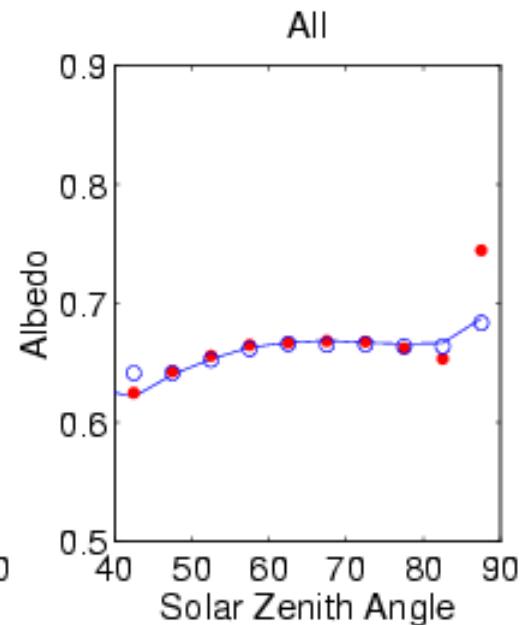
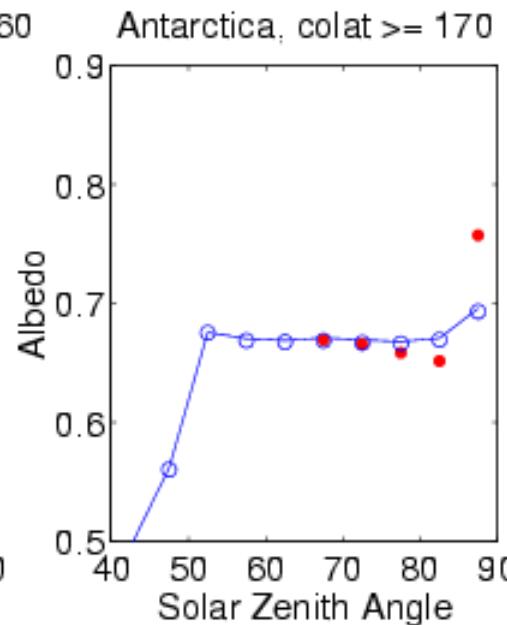
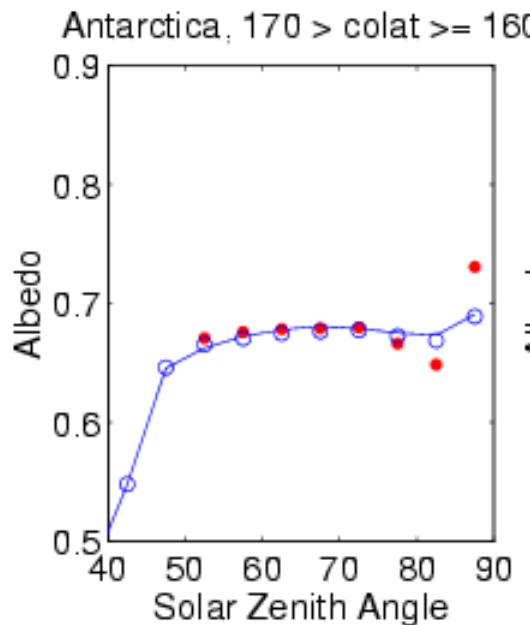
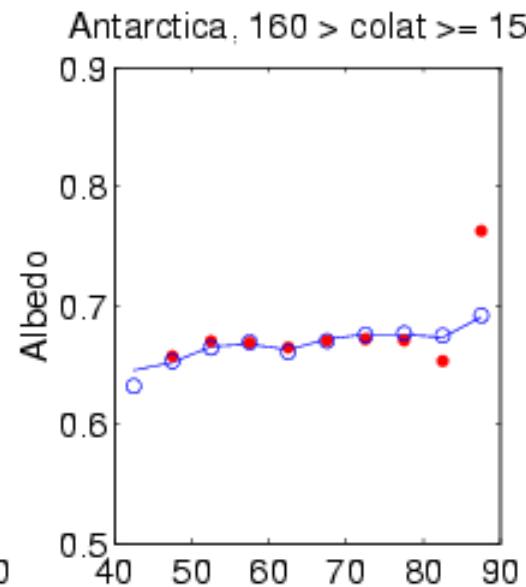
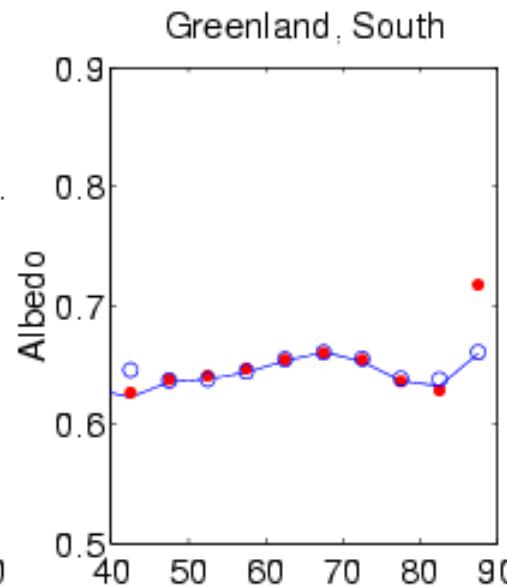
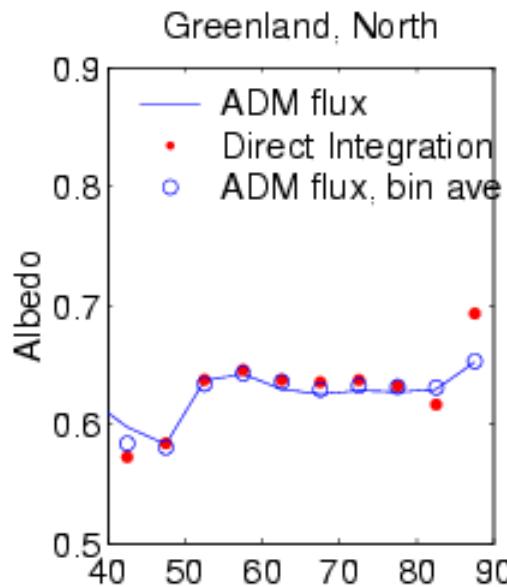
Viewing Zenith Angle ( $^\circ$ )

- Snow  $\leq 1\%$
- 1% < Snow  $\leq 25\%$
- 25% < Snow  $\leq 50\%$
- 50% < Snow  $\leq 75\%$
- 75% < Snow  $\leq 99\%$
- 99% < Bright Snow
- 99% < Dark Snow

- Cloud Fraction  $< 0.001$
- $0.001 \leq$  Cloud Fraction  $< 0.250$
- $0.250 \leq$  Cloud Fraction  $< 0.500$
- $0.500 \leq$  Cloud Fraction  $< 0.750$
- $0.750 \leq$  Cloud Fraction  $< 0.999$
- $0.999 \leq$  Cloud Fraction,  $\tau \leq 10$
- $0.999 \leq$  Cloud Fraction,  $\tau > 10$

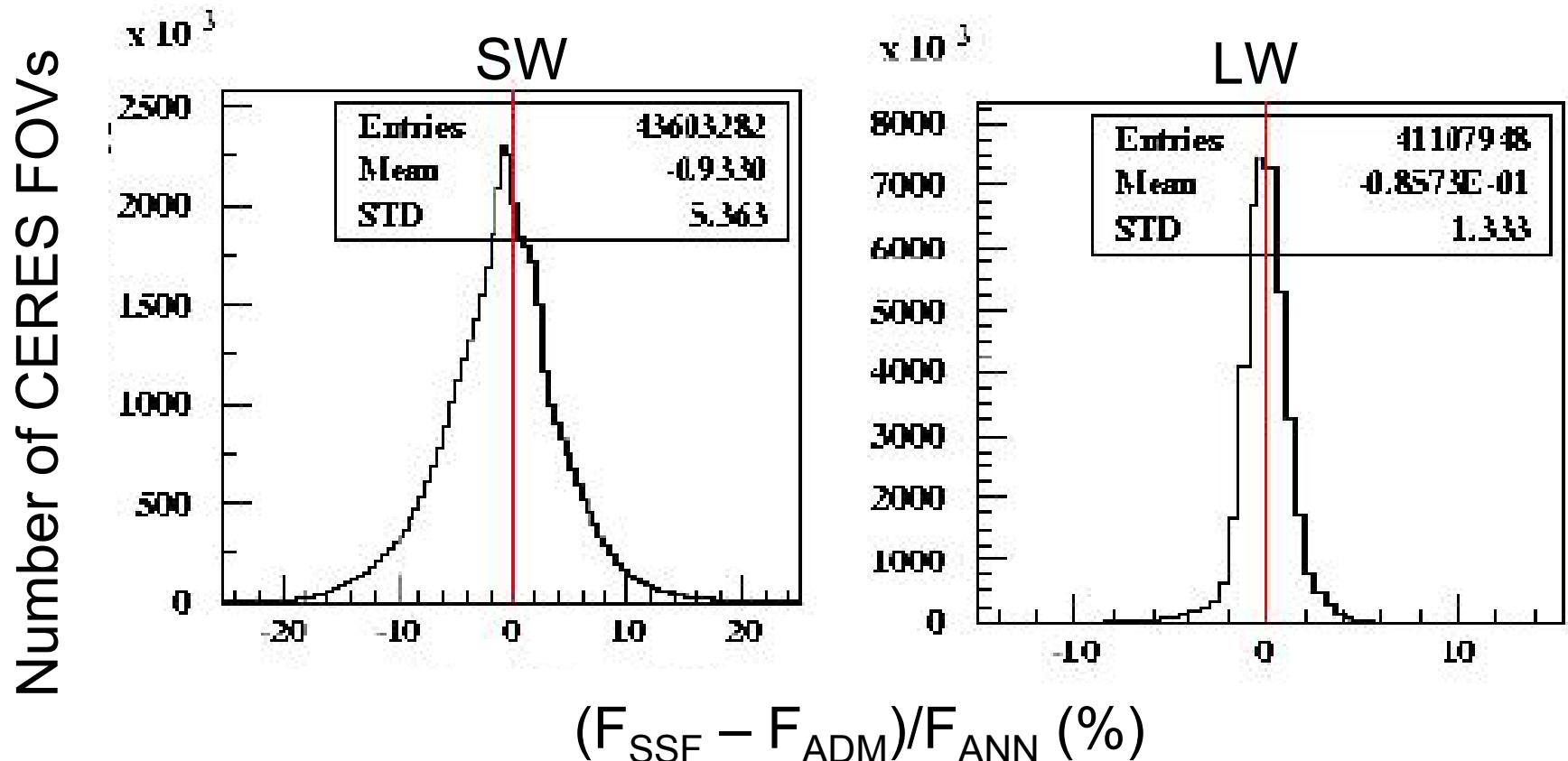
- Ice  $\leq 1\%$
- 1% < Ice  $\leq 25\%$
- 25% < Ice  $\leq 50\%$
- 50% < Ice  $\leq 75\%$
- 75% < Ice  $\leq 99\%$
- 99% < Bright Ice
- 99% < Dark Ice

# TOA Albedo Direct Integration Tests: Snow



## Use of Neural Network Scheme to Predict TOA Fluxes

- Determine TOA fluxes when imager information is unavailable or too many pixels have no cloud retrieval.
- Train neural network with TRMM ADMs to predict TOA fluxes using only CERES SW, LW & WN radiances and ECMWF parameters.



See Konstantin Loukachev ADM WG Presentation

## Conclusions

- Early results from Terra ADMs look promising. Improvement over TRMM ADMs especially outside of Tropics.
- Final Terra ADMs expected to be completed in September, 2003.
- More work needed to evaluate quality of 1°-resolution SW clear land ADMs and fits for ocean LW ADMs.
- SW and LW ADMs yet to be developed for clouds over land and desert.